

Contract Name: Collin County Title IV-E Child Welfare Services

Contract Number: 23379909

FY 2011

Please provide the person's name and number

to contact if additional information is needed: Laura Thomas - # 972-548-4511

1. Do you currently have other client service contracts with DFPS or any other Governmental entity [federal, state (ISD, University) county]?

Yes  No

If yes, please provide the contract number and indicate which of the following payment types is utilized for the contract:

<b>Cost Reimbursement</b>	Contractor is reimbursed for allowable costs.
<b>Fee For Service</b>	Contractor is paid a set fee per unit of service. Typically rates are negotiated with the individual vendor and may apply only to that vendor. An independent rate setting process does not exist for the contracted service.
<b>Rate Based Payments</b>	Contractor is paid at a pre-determined rate or fee per unit of service, which was established through a rate setting process. The rate setting process typically applies to multiple contractors who provide the service.
<b>Other</b>	Any other payment type not defined above.

Contract Number	Payment Type	Contract Number	Payment Type
<u>23379896</u>	<u>Reimbursement</u>	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

RAI Factor #3

2. Check the appropriate time period since your last audit (e.g., annual audit, compliance audit, single audit) was completed by an independent auditor, including other state/federal agency.

Less than 1 year     1 year     2 years     3 or more years OR No Audit completed

RAI Factor #16

3. Type of Related Party Transactions: "Doing business" refers to business activities such as purchasing (e.g., a building, a computer, a vehicle, etc.), leasing (e.g., a building, a computer, a vehicle, etc.), and/or obtaining a service (e.g., legal services, accounting services, banking services, etc.), even if the purchase/lease/service is provided at no charge from anyone related by blood or marriage to a member of your Board of Directors; a principal stockholder; or a key employee. See 40 TAC § 732.240 (g).

Does your agency do business with any of the above-mentioned parties for the following?

- Non-compensated services     Yes     No
- Non-routine and non-recurring services     Yes     No
- Consulting or Management services     Yes     No
- Building/Leasing     Yes     No
- Transportation     Yes     No
- Labor     Yes     No
- For-profit affiliated with non-profit     Yes     No
- Owned/operated by same or related entity     Yes     No

RAI Factor #10

4. The percentage of total expenditures paid out to Subcontractors in a fiscal year.

- 0%   
  20% or less   
  21% to 40%   
  More than 40%

RAI Factor #8

5. Experience of key management staff: Note: Fiscal components refer to the financial aspect of the contract. Programmatic components refer to the program side of the contract, such as monitoring that services are provided to clients, monitoring the quality of the service delivery, ensuring compliance to the service provisions in the contract (Section VIII).

<b>Executive Director, President or Equivalent</b>	<input type="checkbox"/> Less than 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input type="checkbox"/> At least 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input checked="" type="checkbox"/> At least 2 years with fiscal <u>and</u> programmatic components of federal and/or state contracted programs
<b>Accounting (Comptroller, Chief Financial Officer, Business Mgr, etc.)</b>	<input type="checkbox"/> Less than 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input type="checkbox"/> At least 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input checked="" type="checkbox"/> At least 2 years with fiscal <u>and</u> programmatic components of federal and/or state contracted programs
<b>Program Director, Program Coordinator or Equivalent</b>	<input type="checkbox"/> Less than 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input type="checkbox"/> At least 2 years with fiscal <u>or</u> programmatic components of federal and/or state contracted programs	<input checked="" type="checkbox"/> At least 2 years with fiscal <u>and</u> programmatic components of federal and/or state contracted programs

RAI Factor #6

6. Total Contractor Experience

Check the appropriate box below your total contractor experience (including experience with non-DFPS agencies) providing the type of service being contracted.

- 0 to 12 months   
  13 to 35 months   
  36 to 59 months   
  60 or more months

RAI Factor #7

7. Check the appropriate box below if there has been any significant change in direct delivery and billing staff in the appropriate periods:

- No significant change in last 2 years  
 Significant change in direct delivery and billing staff within:   
  Last 2 years   
  Last 12 months

RAI Factor #7