



COLLIN COUNTY

Auditor's Office
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To: Judge Self and Commissioners Court

From: Jeff May – County Auditor *Jeff May*
Monika Arris – Budget Director *Monika Arris*

Date: January 19th, 2009

Re: Investment Report – 4th Quarter (FY09)

On September 30, 2009 total invested operating and bond funds of Collin County amounted to \$367,095,297. These funds were invested through the following investment services:

TexPool	\$178,295,240
Texstar	27,327,386
First Empire	10,999,000
View Point Bank	120,272,778
Coastal Securities	15,000,000
Cathay Bank	15,200,893

As required by Collin County's investment policy, and in accordance with the Government Code 2256, all investments were made with the following objectives in order of priority. First and foremost, the safety of the principle and maintenance of adequate liquidity was considered. Diversification was accomplished through the diversified portfolios of the two investment pools, TexPool and Texstar; individual investments in Agencies and Certificates of Deposits.

Attached for your reference is a schedule of the first quarter which outlines: a) beginning market value; b) additions and changes in market value; and c) ending market value. It also includes the book value at the beginning of the fiscal year and end of the period; the type of asset and fund type invested, yield at maturity, interest year-to-date, and the maturity date of each separate investment.

We purchased \$12.12 million in certificate of deposits and made no new purchases of agencies. The weighted average of our individual investments ended the quarter at 2.67% and the overall weighted average of all unrestricted yield investments equal 1.33%. The interest projected as earned through the fourth quarter equaled approximately \$7.53 million for all funds and the market value of each dollar invested on September 30, 2009 was 232.08%. Collin County has unrealized gains of \$459,150 on its investments. These gains are the result of investments made in a market with a falling outlook on interest rates.

COLLIN COUNTY, TEXAS
INVESTMENT REPORT
GASB 31 CONVERSION

PERIOD - OCTOBER 1, 2008 THROUGH SEPTEMBER 30, 2009

FUND	10/01/08 INVESTMENT AMOUNT	09/30/09 INVESTMENT AMOUNT	CHANGE IN INVESTMENT AMOUNT (DECREASE)	RATE AT PERIOD END	INTEREST YEAR TO DATE	10/01/08 MARKET VALUE	06/30/09 MARKET VALUE	PERCENTAGE CHANGE TO INVESTMENT AMOUNT (DECREASE)	MATURING AS NEEDED AS NEEDED	PURCHASE DATE
TEXPOOL	165,060,946	178,295,240	13,234,294	0.2822%	1,289,397	N/A	178,370,124	0.000323%		
TEXSTAR	35,133,531	27,327,386	-7,806,145	0.2629%	265,830	N/A	27,338,836	0.000049%		
SUBTOTAL - POOLS	200,194,477	205,622,626	5,428,149		1,555,227	0	205,708,960	0.000373%		
FIRST EMPIRE SEC.										
3136F9HP1	2,000,000	2,000,000	0	3.0000%	45,000	1,991,880	2,056,880	0.000246%	10-25-10	04-17-08
31339XD68	2,440,530	0	-2,440,530	4.0000%	21,667	2,489,075	0	0.000000%	12-19-08	10-05-07
3133XPM50	4,000,000	0	-4,000,000	3.2500%	19,139	4,000,000	0	0.000000%	11-24-08	02-22-08
3139BARP9	2,496,250	0	-2,496,250	3.3440%	15,791	2,498,450	0	0.000000%	12-09-08	06-09-08
3133XRLM0	4,000,000	4,000,000	0	4.1250%	123,750	4,026,240	4,133,760	0.000577%	06-24-11	06-24-08
3133XQFZ0	4,966,458	0	-4,966,458	3.4640%	57,252	4,953,150	0	0.000000%	01-29-09	09-11-08
3133XQ6F4	2,000,000	0	-2,000,000	3.2500%	29,069	1,977,500	0	0.000000%	03-12-09	03-12-08
3133XTSY3	0	1,999,000	1,999,000	1.7090%	1,899	0	2,000,000	0.000000%	12-11-12	06-11-09
3133XTTA4	0	1,000,000	1,000,000	3.0500%	4,744	0	1,003,750	0.000000%	06-03-14	06-03-09
3136FHJ71	0	2,000,000	2,000,000	3.0000%	1,167	0	2,001,260	0.000000%	06-23-14	06-23-09
3133XQ6F4	2,000,000	0	-2,000,000	3.2500%	29,069	1,977,500	0	0.000000%	03-12-09	03-12-08
SUBTOTAL	23,903,238	10,999,000	-12,904,238	0	348,548	23,913,795	11,195,650	0.000823%		
COASTAL SECURITIES										
3133XLH63	1,525,000	1,525,000	0	5.6800%	21,655	1,586,473	1,597,438	0.000313%	06-28-12	06-28-07
3133XMLN9	2,500,000	0	-2,500,000	4.8000%	30,000	2,502,350	0	0.000000%	10-16-08	10-16-07
3133XLHA4	1,500,000	0	-1,500,000	5.6500%	63,327	1,524,375	0	0.000000%	06-29-09	06-25-07
3136F9FW8	2,400,000	0	-2,400,000	3.0000%	38,800	2,383,512	0	0.000000%	04-14-09	04-14-08
3136F9HV8	2,000,000	2,000,000	0	3.2500%	48,750	1,967,500	2,042,500	0.000183%	05-07-12	05-07-08
3128XF33	2,500,000	0	-2,500,000	4.0500%	20,250	2,507,750	0	0.000000%	12-30-08	06-30-08
3133XSE87	0	3,000,000	3,000,000	4.0500%	86,400	0	3,003,750	0.000016%	07-15-11	10-15-08
3133XTTK2	0	5,000,000	5,000,000	2.0000%	1,389	0	4,995,300	-0.000020%	06-25-14	06-25-09
3133XTUP9	0	2,000,000	2,000,000	2.2500%	625	0	1,996,260	-0.000016%	06-25-14	06-25-09
3133XLHA4	1,500,000	0	-1,500,000	5.6500%	63,327	1,524,375	0	0.000000%	06-29-09	06-29-07
3133XLH71	1,475,000	1,475,000	0	5.6700%	85,050	1,526,625	1,540,918	0.000285%	06-25-12	06-25-07
3133X5SL3	999,971	0	-999,971	5.0000%	28,194	1,010,000	0	0.000000%	04-23-09	04-23-04
SUBTOTAL	16,399,971	15,000,000	-1,399,971		487,768	16,532,960	15,176,166	0.000761%		
CATHAY BANK										
CD #79100134	0	15,200,893	15,200,893	2.0000%	75,922	N/A	N/A	0.000000%	01/23/2010	

FUND	10/01/08 INVESTMENT AMOUNT	09/30/09 INVESTMENT AMOUNT	CHANGE IN INVESTMENT AMOUNT (DECREASE)	RATE AT PERIOD END	INTEREST YEAR TO DATE	10/01/08 MARKET VALUE	06/30/09 MARKET VALUE	PERCENTAGE CHANGE TO INVESTMENT AMOUNT (DECREASE)	MATURING	PURCHASE DATE
VIEW POINT BANK										
CD#200559895	2,023,965	2,087,593	63,628	3.1100%	63,628	N/A	N/A	0.000000%	04/10/2010	
CD#200559895	937,598	967,074	29,476	3.1100%	29,476	N/A	N/A	0.000000%	04/10/2010	
CD#10370268023	2,533,626	2,606,993	73,367	2.8600%	73,367	N/A	N/A	0.000000%	10/09/2009	
CD#10370268023	484,598	478,052	13,454	2.8600%	13,454	N/A	N/A	0.000000%	10/09/2009	
CD#200561063	2,959,629	3,037,837	78,208	2.6200%	78,208	N/A	N/A	0.000000%	10/09/2009	
CD#200561983	2,971,937	3,058,466	86,529	2.3800%	86,529	N/A	N/A	0.000000%	07/09/2011	
CD#10459324016	4,143,747	4,276,775	133,027	1.7500%	133,027	N/A	N/A	0.000000%	08/11/2010	
CD#200561988	2,338,533	2,406,765	68,232	2.3800%	68,232	N/A	N/A	0.000000%	06/12/2011	
CD#10459324023	2,356,874	2,434,558	77,684	1.8900%	77,684	N/A	N/A	0.000000%	04/12/2010	
CD#10459324024	2,362,320	2,432,018	69,698	2.9100%	69,698	N/A	N/A	0.000000%	11/12/2009	
CD#10459324025	2,356,874	2,429,669	72,795	2.5800%	72,795	N/A	N/A	0.000000%	01/12/2010	
CD#10459324026	4,713,747	4,859,338	145,591	2.5800%	145,591	N/A	N/A	0.000000%	01/12/2010	
CD#10459324027	4,713,747	4,838,299	124,552	1.6900%	124,552	N/A	N/A	0.000000%	02/12/2010	
CD#10459324028	4,713,747	4,863,586	149,839	2.0800%	149,839	N/A	N/A	0.000000%	03/12/2010	
CD#30020227960	6,848,978	7,049,373	200,395	2.9100%	200,395	N/A	N/A	0.000000%	11/15/2009	
CD#30020227962	5,696,538	5,861,038	164,500	2.8600%	164,500	N/A	N/A	0.000000%	10/15/2009	
CD#10370329018	2,417,103	2,535,145	118,042	4.8000%	118,042	N/A	N/A	0.000000%	10/12/2009	
CD#10370329018	2,417,106	2,535,145	118,039	4.8000%	118,039	N/A	N/A	0.000000%	10/12/2009	
CD#200532700	2,220,038	2,285,290	65,252	1.8300%	65,252	N/A	N/A	0.000000%	03/26/2011	
CD#200561742	549,159	563,170	14,011	2.3800%	14,011	N/A	N/A	0.000000%	05/28/2011	
CD#200561742	1,647,471	1,689,510	42,039	2.3800%	42,039	N/A	N/A	0.000000%	05/28/2011	
CD#200562219	1,652,994	1,701,061	48,067	2.3700%	48,067	N/A	N/A	0.000000%	06/27/2011	
CD#200562219	550,997	567,020	16,023	2.3700%	16,023	N/A	N/A	0.000000%	06/27/2011	
CD#200532696	2,210,412	2,285,676	75,264	2.3700%	75,264	N/A	N/A	0.000000%	07/26/2011	
CD#200532698	1,105,988	1,142,009	36,021	1.4400%	36,021	N/A	N/A	0.000000%	09/26/2010	
CD#200532698	1,105,988	1,142,009	36,021	1.4400%	36,021	N/A	N/A	0.000000%	09/26/2010	
CD#200559204	1,793,385	1,849,405	56,020	2.3700%	56,020	N/A	N/A	0.000000%	07/07/2011	
CD#200559207	1,793,965	1,850,564	56,599	1.7500%	56,599	N/A	N/A	0.000000%	08/07/2010	
CD#200559212	489,011	505,335	16,324	2.4000%	16,324	N/A	N/A	0.000000%	09/07/2011	
CD#200559212	489,011	505,335	16,324	2.4000%	16,324	N/A	N/A	0.000000%	09/07/2011	
CD#200559212	815,943	843,180	27,237	2.4000%	27,237	N/A	N/A	0.000000%	09/07/2011	
CD#200559216	244,505	252,819	8,314	3.3600%	8,314	N/A	N/A	0.000000%	10/07/2009	
CD#200559216	815,944	843,688	27,744	3.3600%	27,744	N/A	N/A	0.000000%	10/07/2009	
CD#200559216	244,505	252,819	8,314	3.3600%	8,314	N/A	N/A	0.000000%	10/07/2009	
CD#200559216	489,011	505,639	16,628	3.3600%	16,628	N/A	N/A	0.000000%	10/07/2009	
CD#200559222	1,467,033	1,516,917	49,884	3.3600%	49,884	N/A	N/A	0.000000%	11/07/2009	
CD#200559222	326,932	338,049	11,117	3.3600%	11,117	N/A	N/A	0.000000%	11/07/2009	
CD#200559225	1,793,965	1,854,966	61,001	2.2800%	61,001	N/A	N/A	0.000000%	12/07/2009	02/07/2007
CD#200559228	1,793,965	1,854,966	61,001	3.3600%	61,001	N/A	N/A	0.000000%	01/07/2010	02/07/2007
CD#200559188	1,793,385	1,838,860	45,475	2.0800%	45,475	N/A	N/A	0.000000%	03/07/2010	02/07/2007
CD#200559192	1,434,709	1,470,891	36,182	1.9890%	36,182	N/A	N/A	0.000000%	04/07/2010	02/07/2007
CD#200559192	358,676	367,723	9,047	1.9890%	9,047	N/A	N/A	0.000000%	04/07/2010	02/07/2007
CD#200559195	1,793,385	1,846,418	53,033	2.5200%	53,033	N/A	N/A	0.000000%	04/07/2011	02/07/2007
CD#200559198	1,793,385	1,847,615	54,230	2.5200%	54,230	N/A	N/A	0.000000%	05/07/2011	02/07/2007
CD#200559201	1,793,385	1,848,038	54,653	2.3800%	54,653	N/A	N/A	0.000000%	06/07/2011	02/07/2007

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CD#200559850	1	1,077,371	1,110,391	33.020	3.1100%	33,020	N/A	0.000000%	04/07/2010	02/07/2007
CD#200559850	018	723,813	746,365	22,552	3.1100%	22,552	N/A	0.000000%	04/07/2010	02/07/2007
CD#200559850	426	4,306,110	4,441,564	135,454	3.1100%	135,454	N/A	0.000000%	04/07/2010	02/07/2007
CD#200559850	427	5,382,638	5,551,955	169,317	3.1100%	169,317	N/A	0.000000%	04/07/2010	02/07/2007
CD#200551639	018	354,082	368,349	14,267	3.2300%	14,267	N/A	0.000000%	10/09/2009	02/07/2007
CD#200551639	443	3,268,090	3,370,741	102,651	3.2300%	102,651	N/A	0.000000%	10/09/2009	02/07/2007
CD#200551639	499	4,357,460	4,494,322	136,862	3.2300%	136,862	N/A	0.000000%	10/09/2009	02/07/2007
CD#200551639	505	2,169,389	2,247,161	77,772	3.2300%	77,772	N/A	0.000000%	10/09/2009	02/07/2007
CD#200547876	001	2,134,337	2,235,731	101,394	2.3700%	101,394	N/A	0.000000%	07/10/2011	02/07/2007
CD#200547878	432	3,203,442	3,379,503	176,061	5.3900%	176,061	N/A	0.000000%	07/10/2010	
SUBTOTAL		\$116,514,546	\$120,272,778	\$3,758,231		\$3,758,231	\$0	\$0		

[illegible]

PERCENTAGE VALUE MARKET TO BOOK
WEIGHTED AVERAGE YIELD ON INVESTMENTS EXCLUDING RESTRICTED YIELD
WEIGHTED AVERAGE YIELD ON AGENCY/TREASURY INVESTMENTS
UNREALIZED GAIN (LOSS) ON INVESTMENTS
TOTAL INTEREST EARNINGS POSTED TO DATE
GSE = GOVERNMENT-SPONSORED-ENTERPRISE
* = INTEREST IS PAID SEMIANNUALLY AND WILL BE HELD TO MATURITY
* = INTEREST ACCUMULATES TO MATURITY AND WILL EARN INTEREST UNTIL FIRST