

**COLLIN COUNTY** 

# SERVICES: MOWING AND STRING/TREE TRIMMING

# IFB 2016-262

Carol Magers Jack Hatchell Administration Building 2300 Bloomdale Road, Ste. 3160 McKinney, TX 75071

(P) 972-548-4110 (F) 972-548-4694 cmagers@co.collin.tx.us

Collin County exclusively uses IonWave Technologies, Inc. (<u>Collin County eBid</u>) for the notification and dissemination of all solicitations. The receipt of solicitations through any other means may result in your receipt of incomplete specifications and/or addendums which could ultimately render your bid/proposal non-compliant. Collin County accepts no responsibility for the receipt and/or notification of solicitations through any other means.

### LEGAL NOTICE

By order of the Commissioners' Court of Collin County, Texas, bids will be received by the County Purchasing Agent until 2:00 P.M., Thursday, July 28, 2016 for competitive bids on Services: Mowing and String/Tree Trimming (IFB 2016-262). Bidders should use unit pricing. Bidders mav secure copies of the Bidding Documents at https://collincountytx.ionwave.net . Bids will be opened by the Purchasing Agent in the Purchasing Conference Room, Collin County Administration Building, 2300 Bloomdale Road, Suite 3160, McKinney TX, 75071 on Thursday, July 28, 2016 at 2:00 P.M. The Commissioners' Court reserves the right to reject any and all bids.

# **ATTENTION: CLASSIFIEDS**

# **BILL TO: ACCOUNT NO 06100315-000 COMMISSIONERS' COURT**

NOTICE TO PUBLISHERS: Please publish in your issue on Thursday, July 14, 2016 and Thursday, July 21, 2016. A copy of this notice and the publisher's affidavit must accompany the invoice when presented for payment.

> **NEWSPAPER: Plano Star Courier** July 11, 2016

# Collin County, Texas

#### **Bid Information** Contact Information Ship to Information Bid Owner Address Address Carol Magers Buyer II 2300 Bloomdale Rd. See Purchase Order Email cmagers@co.collin.tx.us Ste. 3160 Phone (972) 548-4119 McKinney, TX 75071 McKinney, TX 75071 Contact Fax (972) 548-4694 Carol Magers Buyer II Contact Department Purchasing Department **Bid Number** 2016-262 Building Admin. Building Building Services: Mowing and String/Tree Floor/Room Ste.3160 Floor/Room Title Telephone (972) 548-4119 Telephone Trimming (972) 548-4694 Bid Type IFB Fax Fax Issue Date 07/12/2016 Email cmagers@co.collin.tx.us Email Close Date 7/28/2016 02:00:00 PM (CT)

#### **Supplier Information**

Company Name Contact Name Address		
Contact Name		
Address	-	
	-	
	•	
Telephone		
Telephone Fax		
Email		

Supplier Notes

The undersigned hereby certifies the foregoing bid submitted by the company listed below hereinafter called "bidder" is the duly authorized agent of said company and the person signing said bid has been duly authorized to execute same. Bidder affirms that they are duly authorized to execute this contract; this company; corporation, firm, partnership or individual has not prepared this bid in collusion with any other bidder or other person or persons engaged in the same line of business; and that the contents of this bid as to prices, terms and conditions of said bid have not been communicated by the undersigned nor by any employee or agent to any other person engaged in this type of business prior to the official opening of this bid.

Signature	Date / /
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#### **Bid Notes**

The purpose of this Invitation for Bid is to provide the specifications to described the mowing an string/tree trimming services required by Collin County.

Bid Activities					
Date	Name	Description			
7/22/2016 02:00:00 PM (CT)	Intent to Bid	Do you intend to bid on this IFB?			

#### Bid Messages

#### **Bid Attachments**

The following attachments are associated with this opportunity and will need to be retrieved separately

Line	Filename	Description
Header	Legal Notice - 1.doc	Legal Notice

Header	General Instructions_Bid.docx	General Instructions_Bid
Header	Terms of Contract_Bid.docx	Terms of Contract - Bid
Header	Insurance Requirements.doc	Insurance
Header	FY 2016 Special Terms and Conditions(1).doc	Specifications
Header	Attachment A.pdf	Attachment A - Map of Soil Conservation Sites
Header	HB23_CIQ - Information Regarding Conflict of Interest Questionnaire.docx	Information Regarding Conflict of Interest Questionnaire
Header	CIQ-New-2015 (1).pdf	Conflict of Interest Questionnaire_2015
Header	W-9.pdf	W-9

# **Bid Attributes**

#	Name	Note	Response
1	Delivery	Delivery will be F.O.B. inside delivery at Collin County designated locations and all transportation charges are to be paid by the supplier to destination.	(Required)
		Please state delivery in calendar days from date of order.	
2	Exceptions	Do you take exceptions to the specifications. If so, by separate attachment, please state your exceptions. Valid Responses: [Please Select], Yes, No	(Required)
3	Insurance	I understand that the insurance requirements of this solicitation are required and a certificate of insurance shall be submitted to the Purchasing department if I am awarded all or a portion of the resulting contract.	(Required)
		Please initial.	
4	Subcontractors	State the business name of all subcontractors and the type of work they will be performing under this contract.	(Required)
		If you are fully qualified to self-perform the entire contract, please respond with "Not Applicable-Self Perform".	
5	Reference No. 1	List a company or governmental agency where these same/like products /services, as stated herein, have been provided.	(Required)
		Include the following: Company/Entity, Contact, Address, City/State/Zip, Phone, and E-Mail.	
6	Reference No. 2	List a company or governmental agency where these same/like products /services, as stated herein, have been provided.	(Required)
		Include the following: Company/Entity, Contact, Address, City/State/Zip, Phone, and E-Mail.	

7	Reference No. 3	List a company or governmental agency where these same/like products /services, as stated herein, have been provided.	 (Required)
		Include the following: Company/Entity, Contact, Address, City/State/Zip, Phone, and E-Mail.	
8	Cooperative Contracts	As permitted under Title 8, Chapter 271, Subchapter F, Section 271.101 and 271.102 V.T.C.A. and Title 7, Chapter 791, Subchapter C, Section 791.025, V.T.C.A., other local governmental entities may wish to also participate under the same terms and conditions contained in this contract. Each entity wishing to participate must enter into an inter-local agreement with Collin County and have prior authorization from vendor. If such participation is authorized, all purchase orders will be issued directly from and shipped directly to the local governmental entity requiring supplies/services. Collin County shall not be held responsible for any orders placed, deliveries made or payment for supplies/services ordered by these entities. Each entity reserves the right to determine their participation in this contract.	(Required)
		Would bidder be willing to allow other local governmental entities to participate in this contract, if awarded, under the same terms and conditions? Valid Responses: [Please Select], Yes, No	
9	Preferential Treatment	The County of Collin, as a governmental agency of the State of Texas, may not award a contract to a nonresident bidder unless the nonresident's bid is lower than the lowest bid submitted by a responsible Texas resident bidder by the same amount that a Texas resident bidder would be required to underbid a nonresident bidder to obtain a comparable contract in the state in which the nonresident's principal place of business is located (Government Code, Title 10, V.T.C.A., Chapter 2252, Subchapter A).	 (Required)
		1. Is your principal place of business in the State of Texas?	
		2. If your principal place of business is not in Texas, in which State is your principal place of business?	
		3. If your principal place of business is not in Texas, does your state favor resident bidders (bidders in your state) by some dollar increment or percentage?	
		4. If your state favors resident bidders, state by what dollar amount or percentage.	
10	Debarment Certification	I certify that neither my company nor an owner or principal of my company has been debarred, suspended or otherwise made ineligible for participation in Federal Assistance programs under Executive Order 12549, "Debarment and Suspension," as described in the Federal Register and Rules and Regulations.	 (Required)
		Please initial.	

	leasting and Deferm Act		
11	Immigration and Reform Act	I declare and affirm that my company is in compliance with the Immigration and Reform Act of 1986 and all employees are legally eligible to work in the United States of America.	(Required)
		I further understand and acknowledge that any non-compliance with the Immigration and Reform Act of 1986 at any time during the term of this contract will render the contract voidable by Collin County.	
		Please initial.	
12	Disclosure of Certain Relationships	Chapter 176 of the Texas Local Government Code requires that any vendor considering doing business with a local government entity disclose the vendor's affiliation or business relationship that might cause a conflict of interest with a local government entity. Subchapter 6 of the code requires a vendor to file a conflict of interest questionnaire (CIQ) if a conflict exists. By law this questionnaire must be filed with the records administrator of Collin County no later than the 7th business day after the date the vendor becomes aware of an event that requires the statement to be filed. A vendor commits an offense if the vendor knowingly violates the code. An offense under this section is a misdemeanor.	(Required)
		By submitting a response to this request, the vendor represents that it is in compliance with the requirements of Chapter 176 of the Texas Local Government Code.	
		Please send completed forms to the Collin County County Clerk's Office located at 2300 Bloomdale Rd., Suite 2104, McKinney, TX 75071.	
		Please initial.	
13	Disclosure of Interested Parties	Section 2252.908 of the Texas Government Code requires a business entity entering into certain contracts with a governmental entity to file with the governmental entity a disclosure of interested parties at the time the business entity submits the signed contract to the governmental entity. Section 2252.908 requires the disclosure form (Form 1295) to be signed by the authorized agent of the contracting business entity, acknowledging that the disclosure is made under oath and under penalty of perjury. Section 2252.908 applies only to a contract that requires an action or vote by the governing body of the governmental entity before the contract may be signed or has a value of at least \$1 million. Section 2252.908 provides definitions of certain terms occurring in the section.	(Required)
		Section 2252.908 applies only to a contract entered into on or after January 1, 2016.	
		Please initial.	
14	Notification Survey	In order to better serve our offerors, the Collin County Purchasing Department is conducting the following survey. We appreciate your time and effort expended to submit your bid. Should you have any questions or require more information please call (972) 548-4165.	(Required)
		How did you receive notice of this request? Valid Responses: [Please Select], Plano Star Courier, Plan Room, Collin County eBid Notification, Collin County Website, Other	

Bidder acknowledges, understands the specifications, any \_\_\_\_\_ and all addenda, and agrees to the bid terms and conditions and can provide the minimum requirements stated herein. Bidder acknowledges they have read the document in its entirety, visited the site, performed investigations and verifications as deemed necessary, is familiar with local conditions under which work is to be performed and will be responsible for any and all errors in Bid submittal resulting from Bidder's failure to do so. Bidder acknowledges the prices submitted in this Bid have been carefully reviewed and are submitted as correct and final. If Bid is accepted, vendor further certifies and agrees to furnish any and all products upon which prices are extended at the price submitted, and upon conditions in the specifications of the Invitation for Bid.

Please initial.

#### (Required)

¥	Qty	UOM	Description	Response
1	_ <u>aty</u> 1	acre	Forty-seven (47) soil conservation structures, approximately three hundred sixteen (316) total acres the first year, forty-two (42) soil conservation structures, approximately two hundred and eight-four (284) total acres the second year, and thirty-eight (38) soil conservation structures, approximately two hundred and forty-eight (248) total acres the	
			third year. Price per acre.	\$ (Required) Unit Price
	Item N		County reserves the right to add or delete additional areas to be mowed and trimmed as it deenterest of the County.	ems to be in the
	Suppli	er Notes:		
	1	acre	Collin County Justice Center	\$(Required)
				Unit Price
			ximately forty-eight (48) acres. Price per acre.	
	Suppli 1 Item N	er Notes:		Unit Price \$(Required)
	Suppli 1 Item N	er Notes: acre	Sister Grove Park	Unit Price \$(Required)

5		square Miscellaneous Lots and/or Acreage	
	S	yard	\$ (Required) Unit Price
	Item Notes	s: "As Needed" Trimming and Brush Removal. Price per square yard.	
	Supplier N	lotes:	
6	1 a	acre Verona Radio Tower	\$ (Required) Unit Price
	Item Notes	s: Approximately twelve (12) acres. Price per acre.	
	Supplier N	lotes:	
7	1 a	acre Celina Radio Tower	\$ (Required) Unit Price
	Item Notes	s: Approximately four (4) acres. Price per acre.	
		lotes:	
8	1 0	cubic yard Tree Trimming	\$ (Required) Unit Price
	Item Notes	s: This will be on an as needed basis. Price per cubic yard.	
	Supplier N	lotes:	
9	1	lane mile Miscellaneous Mowing of County Maintained Roads	\$ (Required) Unit Price
	Item Notes	S: Mowing 700+/- lane miles of County maintained roads at 6 ft width and 4 in. height and kee back to improve visibility. Vendor shall furnish all necessary mowing equipment and provid warn motorists. This mowing will be performed on an 'as needed' basis.	
	Supplier N	lotes:	

#### 1.0 **GENERAL INSTRUCTIONS**

1.0.1 Definitions

1.0.1.1 Bidder: refers to submitter.

1.0.1.2 Vendor/Contractor/Provider: refers to a Successful Bidder/Contractor/Service Provider.

1.0.1.3 Submittal: refers to those documents required to be submitted to Collin County, by a Bidder.

1.0.1.4 IFB: refers to Invitation For Bid.

1.1 If Bidder does not wish to submit an offer at this time, please submit a No Bid.

1.2 Awards shall be made not more than ninety (90) days after the time set for opening of submittals.

1.3 Collin County is always conscious and extremely appreciative of your time and effort in preparing your submittal.

1.4 Collin County exclusively uses ionWave Technologies, Inc. (Collin County eBid) for the notification and dissemination of all solicitations. The receipt of solicitations through any other company may result in your receipt of incomplete specifications and/or addendums which could ultimately render your bid non-compliant. Collin County accepts no responsibility for the receipt and/or notification of solicitations through any other company.

1.5 A bid may not be withdrawn or canceled by the bidder prior to the ninety-first  $(91^{st})$  day following public opening of submittals and only prior to award.

1.6 It is understood that Collin County, Texas reserves the right to accept or reject any and/or all Bids for any or all products and/or services covered in an Invitation For Bid (IFB), and to waive informalities or defects in submittals or to accept such submittals as it shall deem to be in the best interest of Collin County.

1.7 All IFB's submitted in hard copy paper form shall be submitted in a sealed envelope, plainly marked on the outside with the IFB number and name. A hard copy paper form submittal shall be manually signed in ink by a person having the authority to bind the firm in a contract. Submittals shall be mailed or hand delivered to the Collin County Purchasing Department.

1.8 No oral, telegraphic or telephonic submittals will be accepted. IFB's may be submitted in electronic format via Collin County eBid.

1.9 All Invitation For Bids (IFB) submitted electronically via Collin County eBid shall remain locked until official date and time of opening as stated in the Special Terms and Conditions of the IFB.

1.10 Time/date stamp clock in Collin County Purchasing Department shall be the official time of receipt for all Invitation for Bids (IFB) submitted in hard copy paper form. IFB's received in the Collin County Purchasing Department after submission deadline shall be considered void and unacceptable. Absolutely no late submittals will be considered. Collin County accepts no responsibility for technical difficulties related to electronic submittals.

1.11 For hard copy paper form submittals, any alterations made prior to opening date and time must be initialed by the signer of the IFB guaranteeing authenticity. Submittals cannot be altered or amended after submission deadline. 1.12 Collin County is by statute exempt from the State Sales Tax and Federal Excise Tax; therefore, the prices submitted shall not include taxes.

1.13 Any interpretations, corrections and/or changes to an Invitation For Bid, and related Specifications or extensions to the opening/receipt date will be made by addenda to the respective document by the Collin County Purchasing Department. Questions and/or clarification requests must be submitted no later than seven (7) days prior to the opening/receipt date. Those received at a later date may not be addressed prior to the public opening. Sole authority to authorize addenda shall be vested in Collin County Purchasing Agent as entrusted by the Collin County Commissioners' Court. Addenda may be transmitted electronically via Collin County eBid.

1.13.1 Addenda will be transmitted to all that are known to have received a copy of the IFB/RFQ/RFP/RFI/CSP and related Specifications. However, it shall be the sole responsibility of the Bidder/Quoter/Offeror to verify issuance/non-issuance of addenda and to check all avenues of document availability (i.e. **Collin County eBid <u>https://collincountytx.ionwave.net/</u>, telephoning Purchasing Department directly, etc.) prior to opening/receipt date and time to insure Bidder/Quoter/Offeror's receipt of any addenda issued. Bidder/Quoter/Offeror shall acknowledge receipt of all addenda.** 

1.14 All materials and services shall be subject to Collin County approval.

1.15 Collin County reserves the right to make award in whole or in part as it deems to be in the best interest of the County.

1.16 Any reference to model/make and/or manufacturer used in specifications is for descriptive purposes only. Products/materials of like quality will be considered.

1.17 Bidders taking exception to the specifications shall do so at their own risk. By offering substitutions, Bidder shall state these exceptions in the section provided in the IFB or by attachment. Exception/substitution, if accepted, must meet or exceed specifications stated therein. Collin County reserves the right to accept or reject any and/or all of the exception(s)/substitution(s) deemed to be in the best interest of the County.

1.19 Minimum Standards for Responsible Prospective Bidders: A prospective Bidder must meet the following minimum requirements:

1.19.1 have adequate financial resources, or the ability to obtain such resources as required;

1.19.2 be able to comply with the required or proposed delivery/completion schedule;

1.19.3 have a satisfactory record of performance;

1.19.4 have a satisfactory record of integrity and ethics;

1.19.5 be otherwise qualified and eligible to receive an award.

Collin County may request documentation and other information sufficient to determine Bidder's ability to meet these minimum standards listed above.

1.20 Vendor shall bear any/all costs associated with it's preparation of an IFB.

1.21 Public Information Act: Collin County is governed by the Texas Public Information Act, Chapter 552 of All information submitted by prospective bidders during the bidding process is subject to release under the Act.

1.22 The Bidder shall comply with Commissioners' Court Order No. 2004-167-03-11, County Logo Policy.

Revised 6/2015

1.23 Interlocal Agreement: Successful bidder agrees to extend prices and terms to all entities that has entered into or will enter into joint purchasing interlocal cooperation agreements with Collin County.

1.24 Bid Openings: All bids submitted will be read at the county's regularly scheduled bid opening for the designated project. However, the reading of a bid at bid opening should be not construed as a comment on the responsiveness of such bid or as any indication that the county accepts such bid as responsive.

The county will make a determination as to the responsiveness of bids submitted based upon compliance with all applicable laws, Collin County Purchasing Guidelines, and project documents, including but not limited to the project specifications and contract documents. The county will notify the successful bidder upon award of the contract and, according to state law; all bids received will be available for inspection at that time.

### 2.0 **TERMS OF CONTRACT**

2.1 A bid, when properly accepted by Collin County, shall constitute a contract equally binding between the Vendor/Contractor/Provider and Collin County. No different or additional terms will become part of this contract with the exception of a Change Order.

2.2 No oral statement of any person shall modify or otherwise change, or affect the terms, conditions or specifications stated in the resulting contract. All Amendments and/or Change Orders to the contract will be made in writing by Collin County Purchasing Agent.

2.3 No public official shall have interest in the contract, in accordance with Vernon's Texas Codes Annotated, Local Government Code Title 5, Subtitle C, Chapter 171.

2.4 The Vendor/Contractor/Provider shall comply with Commissioners' Court Order No. 96-680-10-28, Establishment of Guidelines & Restrictions Regarding the Acceptance of Gifts by County Officials & County Employees.

2.5 Design, strength, quality of materials and workmanship must conform to the highest standards of manufacturing and engineering practice.

2.6 Bids must comply with all federal, state, county and local laws concerning the type(s) of product(s)/service(s)/equipment/project(s) contracted for, and the fulfillment of all ADA (Americans with Disabilities Act) requirements.

2.7 All products must be new and unused, unless otherwise specified, in first-class condition and of current manufacture. Obsolete products, including products or any parts not compatible with existing hardware/software configurations will not be accepted.

2.8 Vendor/Contractor/Provider shall provide any and all notices as may be required under the Drug-Free Work Place Act of 1988, 28 CFR Part 67, Subpart F, to its employees and all sub-contractors to insure that Collin County maintains a drug-free work place.

2.9 Vendor/Contractor/Provider shall defend, indemnify and save harmless Collin County and all its officers, agents and employees and all entities, their officers, agents and employees who are participating in this contract from all suits, claims, actions, damages (including personal injury and or property damages), or demands of any character, name and description, (including attorneys' fees, expenses and other defense costs of any nature) brought for or on account of any injuries or damages received or sustained by any person, persons, or property on account of Vendor/Contractor/Provider's breach of the contract arising from an award, and/or any negligent act, error, omission or fault of the Vendor/Contractor/Provider, or of any agent, employee, subcontractor or supplier of Vendor/Contractor/Provider in the execution of, or performance under, any contract which may result from an award. Vendor/Contractor/Provider shall pay in full any judgment with costs, including attorneys' fees and expenses which are rendered against Collin County and/or participating entities arising out of such breach, act, error, omission and/or fault.

2.10 Expenses for Enforcement. In the event either Party hereto is required to employ an attorney to enforce the provisions of this Agreement or is required to commence legal proceedings to enforce the provisions hereof, the prevailing Party shall be entitled to recover from the other, reasonable attorney's fees and court costs incurred in connection with such enforcement, including collection.

2.11 If a contract, resulting from a Collin County IFB is for the execution of a public work, the following shall apply:

2.11.1 In accordance with V.T.C.A. 2253.021, a governmental agency that makes a public work contract with a prime contractor shall require the contractor, before beginning work, to execute to the governmental entity a Payment Bond if the contract is in excess of \$25,000.00. Such bond shall

be in the amount of the contract payable to the governmental entity and must be executed by a corporate surety in accordance with Section 1, Chapter 87, Acts of the 56<sup>th</sup> Legislature, Regular Session, 1959 (Article 7.19-1 Vernon's Texas Insurance Code).

2.11.2 In accordance with V.T.C.A. 2253.021, a governmental agency that makes a public work contract with a prime contractor shall require the contractor, before beginning work, to execute to the governmental entity a Performance Bond if the contract is in excess of \$100,000.00. Such bond shall be in the amount of the contract payable to the governmental entity and must be executed by a corporate surety in accordance with Section 1, Chapter 87, Acts of the 56<sup>th</sup> Legislature, Regular Session, 1959 (Article 7.19-1 Vernon's Texas Insurance Code).

2.12 Purchase Order(s) shall be generated by Collin County to the vendor. Collin County will not be responsible for any orders placed/delivered without a valid purchase order number.

2.13 The contract shall remain in effect until any of the following occurs: delivery of product(s) and/or completion and acceptance by Collin County of product(s) and/or service(s), contract expires or is terminated by either party with thirty (30) days written notice prior to cancellation and notice must state therein the reasons for such cancellation. Collin County reserves the right to terminate the contract immediately in the event the Vendor/Contractor/Provider fails to meet delivery or completion schedules, or otherwise perform in accordance with the specifications. Breach of contract or default authorizes the County to purchase elsewhere and charge the full increase in cost and handling to the defaulting Vendor/Contractor/Provider.

2.14 Collin County Purchasing Department shall serve as Contract Administrator or shall supervise agents designated by Collin County.

2.15 All delivery and freight charges (FOB Inside delivery at Collin County designated locations) are to be included as part of the bid price. All components required to render the item complete, installed and operational shall be included in the total bid price. Collin County will pay no additional freight/delivery/installation/setup fees.

2.16 Vendor/Contractor/Provider shall notify the Purchasing Department immediately if delivery/completion schedule cannot be met. If delay is foreseen, the Vendor/Contractor/Provider shall give written notice to the Purchasing Agent. The County has the right to extend delivery/completion time if reason appears valid.

2.17 The title and risk of loss of the product(s) shall not pass to Collin County until Collin County actually receives and takes possession of the product(s) at the point or points of delivery. Collin County shall generate a purchase order(s) to the Vendor/Contractor/Provider and the purchase order number must appear on all itemized invoices.

2.18 Invoices shall be mailed directly to the Collin County Auditor's Office, 2300 Bloomdale Road, Suite 3100, McKinney, Texas 75071. All invoices shall show:

2.18.1 Collin County Purchase Order Number;

2.18.2 Vendor's/Contractor's/Provider's Name, Address and Tax Identification Number;

2.18.3 Detailed breakdown of all charges for the product(s) and/or service(s) including applicable time frames.

2.19 Payment will be made in accordance with V.T.C.A., Government Code, Title 10, Subtitle F, Chapter 2251.

2.20 All warranties shall be stated as required in the Uniform Commercial Code.

2.21 The Vendor/Contractor/Provider and Collin County agree that both parties have all rights, duties, and remedies available as stated in the Uniform Commercial Code.

2.22 The Vendor/Contractor/Provider agree to protect Collin County from any claims involving infringements of patents and/or copyrights.

2.23 The contract will be governed by the laws of the State of Texas. Should any portion of the contract be in conflict with the laws of the State of Texas, the State laws shall invalidate only that portion. The remaining portion of the contract shall remain in effect. The contract is performable in Collin County, Texas.

2.24 The Vendor/Contractor/Provider shall not sell, assign, transfer or convey the contract, in whole or in part, without the prior written approval from Collin County.

2.25 The apparent silence of any part of the specification as to any detail or to the apparent omission from it of a detailed description concerning any point, shall be regarded as meaning that only the best commercial practices are to prevail. All interpretations of the specification shall be made on the basis of this statement.

2.26 Vendor/Contractor/Provider shall not fraudulently advertise, publish or otherwise make reference to the existence of a contract between Collin County and Vendor/Contractor/Provider for purposes of solicitation. As exception, Vendor/Contractor/Provider may refer to Collin County as an evaluating reference for purposes of establishing a contract with other entities.

2 27 The Vendor/Contractor/Provider understands. acknowledges that if the and agrees Vendor/Contractor/Provider subcontracts with a third party for services and/or material, the primary Vendor/Contractor/Provider (awardee) accepts responsibility for full and prompt payment to the third party. Any dispute between the primary Vendor/Contractor/Provider and the third party, including any payment dispute, will be promptly remedied by the primary vendor. Failure to promptly render a remedy or to make prompt payment to the third party (subcontractor) may result in the withholding of funds from the primary Vendor/Contractor/Provider by Collin County for any payments owed to the third party.

2.28 Vendor/Contractor/Provider shall provide Collin County with diagnostic access tools at no additional cost to Collin County, for all Electrical and Mechanical systems, components, etc., procured through this contract.

2.29 Criminal History Background Check: If required, ALL individuals may be subject to a criminal history background check performed by the Collin County Homeland Security prior to access being granted to Collin County. Upon request, Vendor/Contractor/Provider shall provide list of individuals to Collin County Purchasing Department within five (5) working days.

2.30 Non-Disclosure Agreement: Where applicable, vendor shall be required to sign a non-disclosure agreement acknowledging that all information to be furnished is in all respects confidential in nature, other than information which is in the public domain through other means and that any disclosure or use of same by vendor, except as provided in the contract/agreement, may cause serious harm or damage to Collin County. Therefore, Vendor agrees that Vendor will not use the information furnished for any purpose other than that stated in contract/agreement, and agrees that Vendor will not either directly or indirectly by agent, employee, or representative disclose this information, either in whole or in part, to any third party, except on a need to know basis for the purpose of evaluating any possible transaction. This agreement shall be binding upon Collin County and Vendor, and upon the directors, officers, employees and agents of each.

2.31 Vendors/Contractors/Providers must be in compliance with the Immigration and Reform Act of 1986 and all employees specific to this solicitation must be legally eligible to work in the United States of America.

2.32 Certification of Eligibility: This provision applies if the anticipated Contract exceeds \$100,000.00 and as it relates to the expenditure of federal grant funds. By submitting a bid or proposal in response to this solicitation, the Bidder certifies that at the time of submission, he/she is not on the Federal Government's list of suspended, ineligible, or debarred contractors. In the event of placement on the list between the time of bid/proposal submission and time of award, the Bidder will notify the Collin County Purchasing Agent. Failure to do so may result in terminating this contract for default.

2.33 Notice to Vendors/Contractors/Providers delivering goods or performing services within the Collin County Detention Facility: The Collin County Detention Facility houses persons who have been charged with and/or convicted of serious criminal offenses. When entering the Detention Facility, you could: (1) hear obscene or graphic language; (2) view partially clothed male inmates; (3) be subjected to verbal abuse or taunting; (4) risk physical altercations or physical contact, which could be minimal or possibly serious; (5) be exposed to communicable or infectious diseases; (6) be temporarily detained or prevented from immediately leaving the Detention Facility in the case of an emergency or "lockdown"; and (7) subjected to a search of your person or property. While the Collin County Sheriff's Office takes every reasonable precaution to protect the safety of visitors to the Detention Facility, because of the inherently dangerous nature of a Detention Facility and the type of the persons incarcerated therein, please be advised of the possibility of such situations exist and you should carefully consider such risks when entering the Detention Facility. By entering the Collin County Detention Facility, you acknowledge that you are aware of such potential risks and willingly and knowingly choose to enter the Collin County Detention Facility.

2.34 Delays and Extensions of Time when applicable:

2.34.1 If the Vendor/Contractor/Provider is delayed at any time in the commence or progress of the Work by an act or neglect of the Owner or Architect/Engineer, or of an employee of either, or of a separate contractor employed by the Owner, or by changes ordered in the Work, or by labor disputes, fire, unusual delay in deliveries, unavoidable casualties or other causes beyond the Vendor/Contractor/Provider's control, or by delay authorized by the Owner pending mediation and arbitration, or by other causes which the Owner or Architect/Engineer determines may justify delay, then the Contract Time shall be extended by Change Order for such reasonable time as the Owner/Architect may determine.

2.34.2 If adverse weather conditions are the basis for a Claim for additional time, such Claim shall be documented by data substantiating that weather conditions were abnormal for the period of time and could not have been reasonably anticipated, and that the weather conditions had an adverse effect on the scheduled construction.

2.35 Disclosure of Certain Relationships: Chapter 176 of the Texas Local Government Code requires that any vendor considering doing business with a local government entity disclose the vendor's affiliation or business relationship that might cause a conflict of interest with a local government entity. Subchapter 6 of the code requires a vendor to file a conflict of interest questionnaire (CIQ) if a conflict exists. By law this questionnaire must be filed with the records administrator of Collin County no later than the 7th business day after the date the vendor becomes aware of an event that requires the statement to be filed. A vendor commits an offense if the vendor knowingly violates the code. An offense under this section is a misdemeanor. By submitting a response to this request, the vendor represents that it is in compliance with the requirements of Chapter 176 of the Texas Local Government Code. Please send completed forms to the Collin County Clerk's Office located at 2300 Bloomdale Rd., Suite 2104, McKinney, TX 75071.

2.36 Disclosure of Interested Parties: Section 2252.908 of the Texas Government Code requires a business entity entering into certain contracts with a governmental entity to file with the governmental entity a disclosure of interested parties at the time the business entity submits the signed contract to the governmental entity. Section 2252.908 requires the disclosure form (Form 1295) to be signed by the authorized agent of the contracting business entity, acknowledging that the disclosure is made under oath and under penalty of perjury. Section 2252.908 applies only to a contract that requires an action or vote by the governing body of the governmental entity before the contract may be signed or has a value of at least \$1 million. Section 2252.908 provides definitions of certain terms occurring in the section. Section 2252.908 applies only to a contract entered into on or after January 1, 2016.

**NOTE**: All other terms and conditions (i.e. Insurance Requirements, Bond Requirements, etc.) shall be stated in the individual IFB Solicitation documents as Special Terms, Conditions and Specifications.

## **3.0 INSURANCE REQUIREMENTS**

3.1 Before commencing work, the vendor shall be required, at its own expense, to furnish the Collin County Purchasing Agent with certified copies of all insurance certificate(s) indicating the coverage to remain in force throughout the term of this contract.

3.1.1 **Commercial General Liability** insurance including but not limited to the coverage indicated below. Coverage shall not exclude or limit Products/Completed Operations, Contractual Liability, or Cross Liability.

•	Each Occurrence:	\$1,000,000
•	Personal & Adv Injury:	\$1,000,000
•	Products/Completed Operation:	\$2,000,000
•	General Aggregate:	\$2,000,000

3.1.2 **Workers Compensation** insurance as required by the laws of Texas, and Employers' Liability.

Employers' Liability

•	Liability, Each Accident:	\$500,000
•	Disease-Each Employee:	\$500,000
•	Disease – Policy Limit:	\$500,000

3.1.3 **Commercial Automobile Liability** insurance including owned, non-owned, and hired vehicles used in connection with the contract.

• Combined Single Limit – Each Accident: \$1,000,000

3.2 With reference to the foregoing insurance requirement, the vendor shall endorse applicable insurance policies as follows:

3.2.1 A waiver of subrogation in favor of Collin County, its officials, employees, volunteers and officers shall be provided for General Liability, Commercial Automobile Liability and Workers Compensation..

3.2.2 The vendor's insurance coverage shall name Collin County as additional insured under the General Liability policy.

3.2.3 All insurance policies shall be endorsed to require the insurer to immediately notify Collin County of any decrease in the insurance coverage limits.

3.2.4 All insurance policies shall be endorsed to the effect that Collin County will receive at least thirty (30) days notice prior to cancellation, non-renewal or termination of the policy.

3.2.5 All copies of Certificates of Insurance shall reference the project/contract number.

3.3 All insurance shall be purchased from an insurance company that meets the following requirements:

3.3.1 A financial rating of A-VIII or higher as assigned by the BEST Rating Company or equivalent.

3.4 Certificates of Insurance shall be prepared and executed by the insurance company or its authorized agent, and shall contain provisions representing and warranting the following:

3.4.1 Sets forth all endorsements and insurance coverages according to requirements and instructions contained herein.

3.4.2 Sets forth the notice of cancellation or termination to Collin County.

### 4.0 SPECIAL CONDITIONS AND SPECIFICATIONS

4.1 Authorization: By order of the Commissioners' Court of Coll in County, Texas sealed bids will be received for mowing and string/tree trimming of the Soil Conservation Structures, Collin County Justice Center, Sister Grove Park, Parkhi ll Prairie, radio tower sites, miscellaneous mowing of County maintained roads, and miscellaneous lots and/or acreage as needed.

4.2 Purpose: The intended use/purpose for this Invitation For Bid is to describe mowing and string/tree trimming services. Collin County reserves the right to add or delete additional areas to be mowed and trimmed as it deems to be in the best interest of the County.

- 4.2.1 Soil Conservation Structures
- 4.2.2 Collin County Justice Center
- 4.2.3 Sister Grove Park and Parkhill Prairie
- 4.2.4 Verona Radio Tower and Celina Radio Tower
- 4.2.5 Miscellaneous Mowing of County Maintained Roads
- 4.2.6 Miscellaneous Lots and/or Acreage
- 4.2.7 String and Tree Trimming

4.3 Term: Provide for a term contract commencing on the date of award, and continuing through and including September 30, 2017 with two (2) optional one (1) year renewal periods provided there is no change in the terms, conditions, specifications, and prices provided that such renewals are mutually agreed to by both parties.

4.4 Funding: Funds for payment have been provided through the Collin County budget approved by the Commissioners' Court for this fiscal year only. State of Texas statutes prohibit the County from any obligation of public funds beyond the fiscal year for which a budget has been approved.

4.5 Price Reduction: If during the life of the contract, the vendor's net prices to its customers for the same product(s) and/or services shall be reduced below the contracted price, it is understood and agreed that the County shall receive such price reduction.

4.6 Price Redetermination: A price redetermination may be considered by Collin County only at the twelve (12) month and twenty-four (24) month anniversary dates of the contract. All requests for price redetennination shall be in written form and shall include documents supporting price redetermination such as Manufacturer's direct cost, postage rates, Railroad Commission rates, Federal/State minimum wage law, Federal/State unemployment taxes, F.I.C.A, Insurance Coverage Rates, etc. The bidder's past experience of honoring contracts at the bid price will be an important consideration in the evaluation of the lowest and best bid. Collin County reserves the right to accept or reject any/all of the price redetermination as it deems to be in the best interest of the County.

4.7 Delivery/Completion/Response Time: Vendor shall commence mowing or abatement services within seven (7) calendar days after receipt of purchase order from Collin County for specified areas. Soil Conservation structure mowing will follow schedule prepared by vendor and approved by Collin County.

4.8 Approximate Usage: Estimated value of this contract is \$57,646.00. Mowing and string trimming of Soil Conservation Structures shall be done twice per year. Acreage located at the Coll in County Justice Center is estimated at one (1) mowing per month, per area, April through October. Acreage located at Sister Grove Park shall be mowed approximately nine (9) times per year. Acreage at radio tower sites is estimated at one (1) mowing per month, per area, April through October. Miscellaneous acreage mowing and trimming services will be on an "as needed" basis. Approximate usage does not constitute an order, but only implies the probable quantity the County will use.

4.9 Inspection: Upon completion of each area Collin County shall have the right to inspect the service(s) performed before accepting them. Vendor shall make inspections with Collin County's designated representative upon request and furnish a written plan of action as to how and when correction of any discrepancies will be accompl ished. Collin County reserves the right to make periodic unannounced inspections without the vendor being present.

4.10 Inclement Weather Conditions: Collin County will allow two (2) additional days for every day that there is a delay due to the weather for completion of services.

- 4.12 General:
  - 4.12.1 Mowing shall not be allowed if ground is wet and tractor leaves ruts.
  - 4.12.2 Trimming shall be required within all tractor mowing areas.
  - 4.12.3 Trimming around culverts, bar ditches, trees, etc., shall be at the same height or less than the area mowed adjacent to it.
  - 4.12.4 Trimming shall be finished within one (1) working day after mowing is completed.
  - 4.12.5 Vendor shall mow and trim an area until that area is completely finished. Exceptions are inclement weather conditions, mechanical failure and end of working day.
  - 4.12.6 Mowing shall be uniform and level.
- 4.13 Specifications:

4.13.1 Soil Conservation Structures: Forty-two (42) soil conservation structures, approximately two hundred eighty-nine (289) total acres the first year, thirty-six (36) soil conservation structures, approximately two hundred forty-five (245) total acres the second year, and thirty-one (31) and two hundred twenty (220) soil conservation structures, approximately two hundred acres the third year.

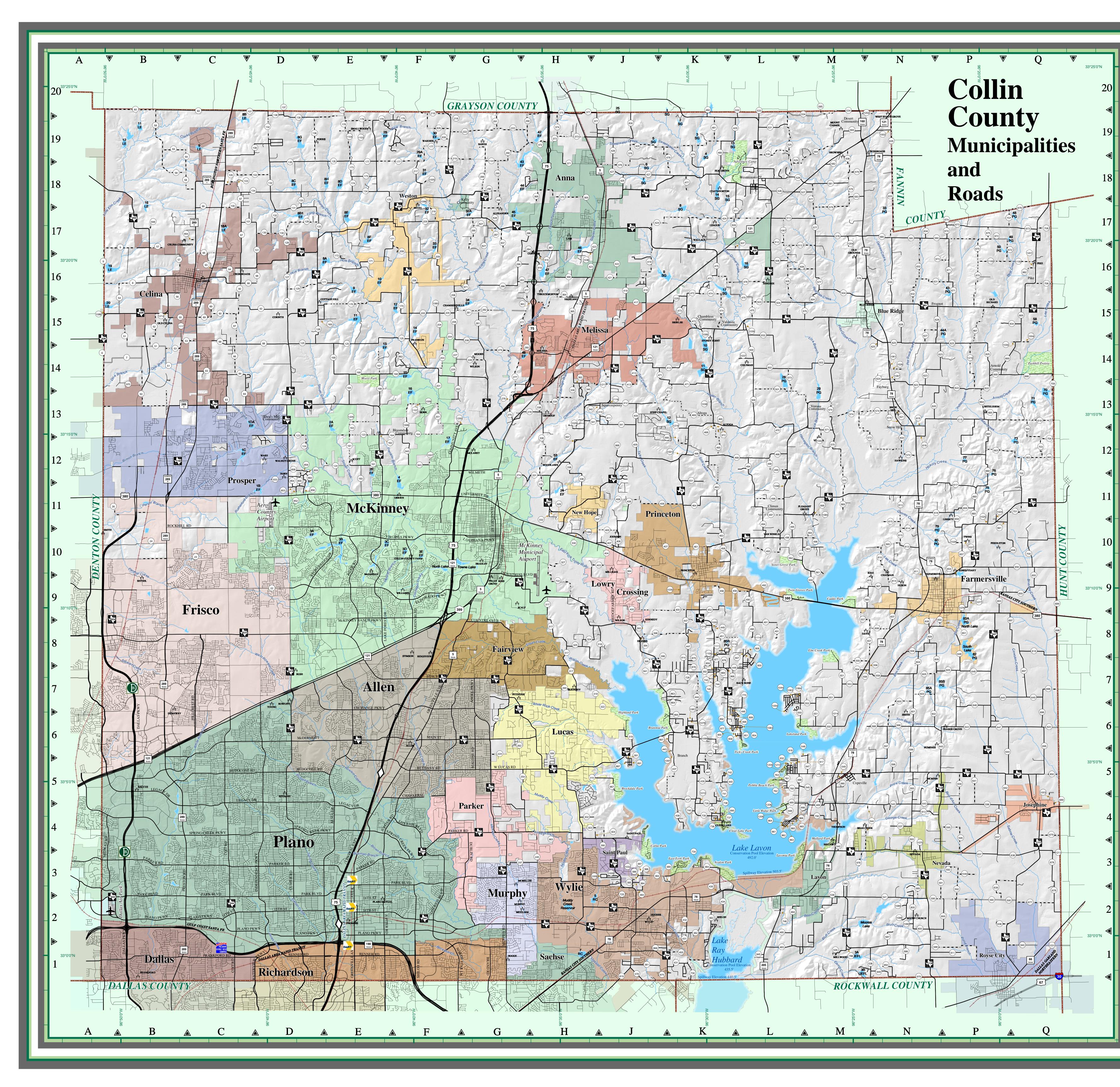
- 4.13.1.1 Mow all structures two (2) times per year;
  - 4.13.1.1.1 The first mowing of each fiscal year shall commence on or about May I and be completed no later than July 1 of each year. Collin County shall detennine commencement and completion dates depending on weather related conditions.
  - 4.13.1.1.2 The second mowing shall commence on or about August 15 and be completed no later than October 15 of each year.
  - 4.13.1.1.3 Grass shall be mowed to a height of approximately three (3) to four (4) inches.

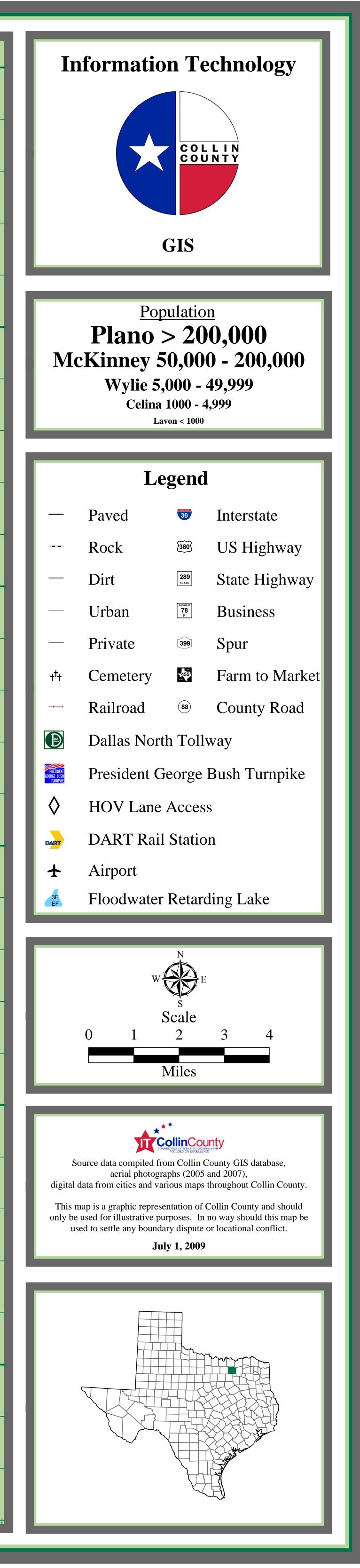
- 4.13.1.1.4 String trimming shall be completed in all fence lines. Spraying of herbicides, in lieu of trimming, shall not be acceptable.
- 4.13.1.1.5 Vendor shall designate one person to contact the designated Public Works Field Inspector, on a daily basis, concerning matters such as the location of work in progress, future work, inspection results, etc. On the occasion that the designated Public Works Inspector is not available, the contact will be Gary Enna at the Collin County Public Works Department.
- 4.13.1.1.6 A map of Collin County with the soil conservation structures identified is attached to this solicitation as attachment "A".
- 4.13.1.1.7 Collin County will provide a list of structures with approximate acreage for each structure to the vendor upon award.
- 4.1 3.1.1.8 In order to prevent ruts being made on these structures, equipment used shall be limited to rubber-tired equipment. No track or steel lug equipment shall be used on these structures.
- 4.13.2 Collin County Justice Center, approximately forty-eight (48) total acres.
  - 4.13.2.1 Acreage to be mowed once (1) per month, April to October.
  - 4.13.2.2 Grass shall be mowed to a height of approximately three (3) to four (4) inches.
  - 4.13.2.3 Mowing beside street and driveways shall start where Collin County Grounds Maintenance mowing ends.
  - 4.13.2.4 Mowing shall be within five (5) feet of dense tree lines.
  - 4.13.2.5 Vendor shall verify acreage.
  - 4.13.2.6 Collin County shall contact vendor to schedule mowing and trimming of specified area and/or areas as needed.
  - 4.13.2.7 Trimming shall be done around all trees and in all bar ditch and culvert areas.
- 4.13.3 Sister Grove Park, approximately thirty (30) acres
  - 4.13.3.1 Acreage to be mowed approximately nine (9) times per year.
  - 4.13.3.2 Grass shall be mowed to a height of approximately four (4) inches.
  - 4.13.3.3 Mowing shall be within five (5) feet of dense tree lines.
  - 4.13.3.4 Vendor shall verify acreage.
  - 4.13.3.5 Collin County shall contact vendor to schedule mowing and trimming of specified area and/or areas as needed.

- 4.13.3.6 Trimming shall be done around all trees and in all bar ditch and culvert areas.
- 4.13.4 Parkhill Prairie, approximately fifteen (15) acres
  - 4.13.4.1 Acreage to be mowed approximately nine (9) times per year.
  - 4.13.4.2 Grass shall be mowed to a height of approximately four (4) inches.
  - 4.13.4.3 Mowing shall be within five (5) feet of dense tree lines.
  - 4.13.4.4 Vendor shall verify acreage.
  - 4.13.4.5 Collin County shall contact vendor to schedule mowing and trimming of specified area and/or areas as needed.
  - 4.13.4.6 Trimming shall be done around all trees and in all bar ditch and culvert areas.
- 4.13.5 Miscellaneous Mowing of County Maintained Roads
  - 4.13.5.1 Mowing 700 +/- lane miles of County maintained roads at 6 ft. width and 4 in. height and keep all intersections mowed back to improve visibility. Vendor shall furnish all necessary mowing equipment and provide signage on each road to warn motorist. This mowing will be performed on an 'as needed' basis only.

#### 4.13.6 Miscellaneous lots and/or acreage

- 4.13.6.1 Collin County will contact vendor on an "as needed" basis to schedule areas of undetermined number, size, or location of area(s) in need of trimming or brush removal.
  - 4.1 3.6.1.1 Removal of trimmings, brush, etc., shall be the sole responsibility of the successful bidder and all fees and landfill permits shall be included in the bidders pricing. The County shall incur no additional fees or cost.
- 4.13.7 Radio Tower Sites
  - 4.13.7. I Verona Radio Tower -Approximately 12 acres 8499 CR 502 Blue Ridge, TX
  - 4.13.7.2 Celina Radio Tower -Approximately 4 acres 9165 CR 101 Celina, TX





# INFORMATION REGARDING CONFLICT OF INTEREST QUESTIONNAIRE

During the 79<sup>th</sup> Legislative Session, House Bill 914 was signed into law effective September 1, 2005, which added Chapter 176 to the Texas Local Government Code. Recent changes have been made to Chapter 176 pursuant to HB23, which passed the 84<sup>th</sup> Legislative Session. Chapter 176 mandates the <u>public disclosure of certain information concerning persons doing business or seeking to do business with Collin County, including family, business, and financial relationships such persons may have with Collin County officers or employees involved in the planning, recommending, selecting and contracting of a vendor for this procurement.</u>

# For a copy of Form CIQ and

CIS: <a href="http://www.ethics.state.tx.us/filinginfo/conflict\_form">http://www.ethics.state.tx.us/filinginfo/conflict\_form</a>

# <u>s.htm</u>

The vendor acknowledges by doing business or seeking to do business with Collin County that he/she has been notified of the requirements under Chapter 176 of the Texas Local Government Code and that he/she is solely responsible for complying with the terms and conditions therein. Furthermore, any individual or business entity seeking to do business with Collin County who does not comply with this practice may risk award consideration of any County contract.

For a listing of current Collin County Officers: http://www.collincountytx.gov/government/Pages/officials.aspx

The following County employees will be involved in the planning, recommending, selecting, and contracting for the attached procurement:

Department: Jon Kleinheksel, Director of Public Works Gary Enna, Assistant Director of Public Works Dan James, Facilities Director Clarence Daugherty, Director of Engineering Jeff Durham, Parks and Projects Manager

Purchasing: Michalyn Rains – Purchasing Agent, CPPB, CPPO Sara Hoglund, CPPB – Asst. Purchasing Agent Carol Magers – Buyer

Commissioners' Court: Keith Self – County Judge Susan Fletcher – Commissioner Precinct No. 1 Cheryl Williams – Commissioner Precinct No. 2 Chris Hill – Commissioner Precinct No. 3 Duncan Webb – Commissioner Precinct No. 4

CONFLICT OF INTEREST QUESTIONNAIRE FORM CIQ			
This questionnaire reflects changes made to the law by H.B. 23, 84th Leg., Regular Session.	OFFICE USE ONLY		
This questionnaire is being filed in accordance with Chapter 176, Local Government Code, by a vendor who has a business relationship as defined by Section 176.001(1-a) with a local governmental entity and the vendor meets requirements under Section 176.006(a).	Date Received		
By law this questionnaire must be filed with the records administrator of the local governmental entity not later than the 7th business day after the date the vendor becomes aware of facts that require the statement to be filed. <i>See</i> Section 176.006(a-1), Local Government Code.			
A vendor commits an offense if the vendor knowingly violates Section 176.006, Local Government Code. An offense under this section is a misdemeanor.			
1 Name of vendor who has a business relationship with local governmental entity.			
2 Check this box if you are filing an update to a previously filed questionnaire.			
(The law requires that you file an updated completed questionnaire with the appropriate filing authority not later than the 7th business day after the date on which you became aware that the originally filed questionnaire was incomplete or inaccurate.)			
Name of local government officer about whom the information in this section is being discl	osed.		
Name of Officer			
<ul><li>This section (item 3 including subparts A, B, C, &amp; D) must be completed for each officer with whom the vendor has an employment or other business relationship as defined by Section 176.001(1-a), Local Government Code. Attach additional pages to this Form CIQ as necessary.</li><li>A. Is the local government officer named in this section receiving or likely to receive taxable income, other than investment</li></ul>			
income, from the vendor?			
Yes No			
B. Is the vendor receiving or likely to receive taxable income, other than investment income, from or at the direction of the local government officer named in this section AND the taxable income is not received from the local governmental entity?			
Yes No			
C. Is the filer of this questionnaire employed by a corporation or other business entity wi government officer serves as an officer or director, or holds an ownership interest of one perce			
Yes No			
D. Describe each employment or business and family relationship with the local government officer named in this section.			
4			
Signature of vendor doing business with the governmental entity	Date		

# Request for Taxpayer Identification Number and Certification

	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.			
Print or type Specific Instructions on page 2.	2 Business name/disregarded entity name, if different from above			
	Check appropriate box for federal tax classification; check only <b>one</b> of the following seven boxes:     Individual/sole proprietor or     C Corporation     S Corporation     Partnership     single-member LLC	Trust/estate	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):	
	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership)		Exempt payee code (if any)	
	Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the tax classification of the single-member owner.		Exemption from FATCA reporting code (if any)	
E E	☐ Other (see instructions) ►		(Applies to accounts maintained outside the U.S.)	
Specifie	<ul> <li>5 Address (number, street, and apt. or suite no.)</li> <li>6 City, state, and ZIP code</li> </ul>	Requester's name a	and address (optional)	
See	7 List account number(s) here (optional)			
Par	rt I Taxpayer Identification Number (TIN)			
Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid Social sec			curity number	
backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>				
TIN o	n page 3.	or		
	. If the account is in more than one name, see the instructions for line 1 and the chart on page lines on whose number to enter.	4 for Employer	-	

#### Part II Certification

Under penalties of perjury, I certify that:

- 1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
- I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
- 3. I am a U.S. citizen or other U.S. person (defined below); and
- 4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions.** You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions on page 3.

Sign	Signature of	
Here	U.S. person 🕨	

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted. **Future developments**. Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at *www.irs.gov/fw9*.

#### **Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- · Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- · Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

#### Date 🕨

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)
- Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.
- If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.
- By signing the filled-out form, you:

1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),

2. Certify that you are not subject to backup withholding, or

3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and

 Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See What is FATCA reporting? on page 2 for further information.