

Fiscal Federal Funding Accountability and Transparency Act (FFATA) CERTIFICATION

The certifications enumerated below represent material facts upon which DSHS relies when reporting information to the federal government required under federal law. If the Department later determines that the Contractor knowingly rendered an erroneous certification, DSHS may pursue all available remedies in accordance with Texas and U.S. law. Signor further agrees that it will provide immediate written notice to DSHS if at any time Signor learns that any of the certifications provided for below were erroneous when submitted or have since become erroneous by reason of changed circumstances. **If the Signor cannot certify all of the statements contained in this section, Signor must provide written notice to DSHS detailing which of the below statements it cannot certify and why.**

Legal Name of Contractor: Collin County	FFATA Contact # 1 Name, Email and Phone Number: Jeff May jmay@co.collin.tx.us (972) 548-4641
Primary Address of Contractor: 2300 Bloomdale Rd McKinney, TX 75071-8517	FFATA Contact #2 Name, Email and Phone Number: Janna Benson-Caponera Jbenson-caponera@co.collin.tx.us (972) 548-4638
ZIP Code: 9-digits Required www.usps.com <div style="border: 1px solid black; display: flex; padding: 2px;"> 75071 8517 - </div>	DUNS Number: 9-digits Required www.sam.gov <div style="border: 1px solid black; display: flex; padding: 2px;"> 074873 449 </div>
State of Texas Comptroller Vendor Identification Number (VIN) 14 Digits <div style="border: 1px solid black; display: flex; padding: 2px;"> 17560008736 </div>	

Printed Name of Authorized Representative Keith Self	Signature of Authorized Representative <div style="border: 1px solid black; padding: 5px;"> <small>DocuSigned by:</small>  <small>A233AC22B813480</small> </div>
Title of Authorized Representative County Judge	Date 4/11/2017 8:49:16 AM CDT

Fiscal Federal Funding Accountability and Transparency Act (FFATA) CERTIFICATION

As the duly authorized representative (Signor) of the Contractor, I hereby certify that the statements made by me in this certification form are true, complete and correct to the best of my knowledge.

Did your organization have a gross income, from all sources, of less than \$300,000 in your previous tax year? ☐ Yes ☒ No

If your answer is "Yes", skip questions "A", "B", and "C" and finish the certification.

If your answer is "No", answer questions "A" and "B".

A. Certification Regarding % of Annual Gross from Federal Awards.

Did your organization receive 80% or more of its annual gross revenue from federal awards during the preceding fiscal year? ☐ Yes ☒ No

B. Certification Regarding Amount of Annual Gross from Federal Awards.

Did your organization receive \$25 million or more in annual gross revenues from federal awards in the preceding fiscal year? ☐ Yes ☒ No

If your answer is "Yes" to both question "A" and "B", you must answer question "C".

If your answer is "No" to either question "A" or "B", skip question "C" and finish the certification.

C. Certification Regarding Public Access to Compensation Information.

Does the public have access to information about the compensation of the senior executives in your business or organization (including parent organization, all branches, and all affiliates worldwide) through periodic reports filed under section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or section 6104 of the Internal Revenue Code of 1986? ☐ Yes ☐ No

If your answer is "Yes" to this question, where can this information be accessed?

If your answer is "No" to this question, you must provide the names and total compensation of the top five highly compensated officers below.

Provide compensation information here:

CERTIFICATION REGARDING LOBBYING

Certification for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned certifies, to the best of his or her knowledge and belief, that:

(1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.

(2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions.

(3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Statement for Loan Guarantees and Loan Insurance

The undersigned states, to the best of his or her knowledge and belief, that:

If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the undersigned shall complete and submit Standard Form-LLL, "Disclosure of Lobbying Activities," in accordance with its instructions. Submission of this statement is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required statement shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

* APPLICANT'S ORGANIZATION

Collin County

* PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE

Prefix: * First Name: **Keith** Middle Name:
* Last Name: **Self** Suffix:
* Title: **County Judge**

* SIGNATURE:

DocuSigned by:

Keith Self

A233AC22B813480...

* DATE: **4/18/2017** | **2:57:30 PM CDT**

Certificate Of Completion

Envelope Id: 91B77782CCAF4C4CA7C0A12D73444305

Status: Completed

Subject: \$545,327.00; DSHS Contract No. 537-18-0128-00001; COLLIN COUNTY; RLHS CPS HAZARDS

Source Envelope:

Document Pages: 48

Signatures: 5

Envelope Originator:

Supplemental Document Pages: 0

Initials: 0

Rosalyn Lazare-Payne

Certificate Pages: 3

AutoNav: Enabled

Payments: 0

1860 Michael Faraday Dr

EnvelopeId Stamping: Enabled

Reston, VA 20190

Time Zone: (UTC-06:00) Central Time (US &

rosalyn.lazare-payne@hhsc.state.tx.us

Canada)

IP Address: 167.137.1.13

Record Tracking

Status: Original

Holder: Rosalyn Lazare-Payne

Location: DocuSign

3/7/2017 | 9:40:53 AM

rosalyn.lazare-payne@hhsc.state.tx.us

Signer Events

Signature

Timestamp

Ayeola Williams

Completed

Sent: 3/7/2017 | 12:16:47 PM

ayeola.williams@hhsc.state.tx.us

Viewed: 3/21/2017 | 3:19:33 PM

Staff Attorney, System Contracting

Signed: 3/21/2017 | 3:43:52 PM

HHSC

Using IP Address: 160.42.85.9

Security Level: Email, Account Authentication
(None)

Electronic Record and Signature Disclosure:
Not Offered via DocuSign
ID:

Patty Melchior

Completed

Sent: 3/21/2017 | 3:43:55 PM

Patty.Melchior@dshs.state.tx.us

Viewed: 3/21/2017 | 4:13:06 PM

Resource Director

Signed: 3/21/2017 | 4:15:17 PM

Department State Health Services

Using IP Address: 160.42.85.9

Security Level: Email, Account Authentication
(None)

Electronic Record and Signature Disclosure:
Not Offered via DocuSign
ID:

Keith Self

DocuSigned by:
Keith Self
A233AC22B813480...

Sent: 3/21/2017 | 4:15:19 PM

keith.self@co.collin.tx.us

Resent: 3/30/2017 | 12:33:09 PM

County Judge

Viewed: 4/11/2017 | 8:46:12 AM

Collin County

Signed: 4/11/2017 | 8:49:16 AM

Security Level: Email, Account Authentication
(None)

Using IP Address: 65.68.53.249

Electronic Record and Signature Disclosure:
Not Offered via DocuSign
ID:

Keith Self

DocuSigned by:
Keith Self
A233AC22B813480...

Sent: 4/18/2017 | 10:47:59 AM

keith.self@co.collin.tx.us

Viewed: 4/18/2017 | 2:57:09 PM

County Judge

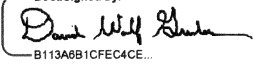
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Collin County

Security Level: Email, Account Authentication
(None)

Using IP Address: 65.68.53.249

Electronic Record and Signature Disclosure:
Not Offered via DocuSign
ID:

Signer Events	Signature	Timestamp
Bernie Rodriguez bernie.rodriguez@hhsc.state.tx.us Procurement Manager Texas Health and Human Services Commission Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:	Completed Using IP Address: 173.174.58.201	Sent: 4/11/2017 8:49:19 AM Resent: 4/18/2017 2:57:35 PM Viewed: 4/20/2017 4:53:14 PM Signed: 5/3/2017 9:46:03 PM
David Gruber david.gruber@dshs.texas.gov Associate Commissioner for RLHS Texas Health and Human Services Commission Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:	DocuSigned by:  B113A6B1CFEC4CE... Using IP Address: 160.42.85.9	Sent: 5/3/2017 9:46:07 PM Viewed: 5/8/2017 11:11:48 AM Signed: 5/8/2017 11:11:55 AM

In Person Signer Events	Signature	Timestamp
Editor Delivery Events	Status	Timestamp
Agent Delivery Events	Status	Timestamp
Intermediary Delivery Events	Status	Timestamp
Certified Delivery Events	Status	Timestamp
Carbon Copy Events	Status	Timestamp
Jason Adams jason.adams@dshs.state.tx.us Contract Manager Texas Health and Human Services Commission Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:	<div>COPIED</div>	Sent: 3/7/2017 12:16:47 PM Viewed: 4/24/2017 10:46:18 AM
Justin Davis justin.davis@dshs.state.tx.us Department of State Health Services Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:	<div>COPIED</div>	Sent: 3/7/2017 12:16:48 PM
Amy Greene amy.greene@hhsc.state.tx.us Contract Specialist V Texas Health and Human Services Commission Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:	<div>COPIED</div>	Sent: 3/7/2017 12:16:47 PM

Carbon Copy Events	Status	Timestamp
<p>Susana Garcia susana.garcia@dshs.state.tx.us Unit Director Texas Health and Human Services Commission Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:</p>	COPIED	Sent: 3/21/2017 3:43:55 PM
<p>Eileen Prentice eprentice@co.collin.tx.us Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:</p>	COPIED	<p>Sent: 3/30/2017 12:33:08 PM Viewed: 4/18/2017 1:25:34 PM</p>
<p>Nicole Gillespie ngillespie@co.collin.tx.us Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:</p>	COPIED	<p>Sent: 3/21/2017 4:15:19 PM Viewed: 3/22/2017 9:50:12 AM</p>
<p>DSHS CMU Inbox CMUContracts@dshs.texas.gov Security Level: Email, Account Authentication (None) Electronic Record and Signature Disclosure: Not Offered via DocuSign ID:</p>	COPIED	Sent: 5/8/2017 11:12:00 AM

Notary Events	Timestamp	
Envelope Summary Events	Status	Timestamps
Envelope Sent	Hashed/Encrypted	5/8/2017 11:12:00 AM
Certified Delivered	Security Checked	5/8/2017 11:12:00 AM
Signing Complete	Security Checked	5/8/2017 11:12:00 AM
Completed	Security Checked	5/8/2017 11:12:00 AM
Payment Events	Status	Timestamps