Agency Name: Collin County Grant/App: 3261402 Start Date: 10/1/2018 End Date: 9/30/2019

Project Title: Teen Court - Substance Abuse and Mental Health Evaluation and Treatment

Status: Application Pending Submission

Profile Information

Applicant Agency Name: Collin County

Project Title: Teen Court - Substance Abuse and Mental Health Evaluation and Treatment

Division or Unit to Administer the Project: Teen Court

Address Line 1: 2300 Bloomdale Road

Address Line 2: Suite 4192

City/State/Zip: McKinney Texas 75071-8517

Start Date: 10/1/2018 **End Date:** 9/30/2019

Regional Council of Governments (COG) within the Project's Impact Area: North Central Texas Council of Governments

Headquarter County: Collin

Counties within Project's Impact Area: Collin

Grant Officials

<u>Authorized Official</u> User Name: Keith Self

Email: keith.self@collincountytx.gov Address 1: 2300 Bloomdale Road

Address 1:

City: McKinney, Texas 75071

Title: The Honorable Salutation: Judge Position: County Judge

1 051110111 004110) 041

Project Director

User Name: Janna Caponera

Email: jbenson-caponera@co.collin.tx.us **Address 1:** 2300 Bloomdale Road, Suite 3100

Address 1:

City: McKinney, Texas 75069

Title: Ms. Salutation: Ms.

Position: Grants and Financial Reporting Manager

Financial Official

User Name: Jeff May Email: jmay@co.collin.tx.us Address 1: 2300 Bloomdale Rd

Address 1: Suite 3100

City: McKinney, Texas 75071

Title: Mr. Salutation: Mr.

Position: County Auditor

Grant Writer

User Name: Linda Riggs Email: lriggs@co.collin.tx.us Address 1: 2300 Bloomdale Rd

Address 1:

City: McKinney, Texas 75071

Title: Ms. Salutation: Ms.

Position: Grant Administrator

Grant Vendor Information

Organization Type: County

Organization Option: applying to provide juvenile prevention and / or intervention services

Applicant Agency's State Payee Identification Number (e.g., Federal Employer's Identification (FEI) Number or

Vendor ID): 17560008736000

Data Universal Numbering System (DUNS): 074873449

Narrative Information

Introduction

This application is for grants under the Juvenile Justice Grant Program.

Please read the <u>funding announcement</u> for program rules and application guidelines and review the *Guide to Grants*, *Grantee Conditions and Responsibilities* and *Standard Certifications and Requirements* - all available at <u>CJD's resources webpage</u> - for standard rules and conditions the applicant agrees to when certifying an application.

How to Apply for a CJD Grant contains special instructions for this application, and Developing a Good Project Narrative is essential reading for drafting effective responses to the nine boxes below in the "Project Narrative" section. Both are also available at CJD's resources webpage, and applicants wishing to receive a grant should review them closely. Applicants that fail to adequately respond to the prompts will NOT be selected by CJD for funding.

Applications for local or regional projects under this announcement will first be reviewed and ranked by the relevant regional Council of Governments' (COG) Criminal Justice Advisory Committee, and some COGs have additional, mandatory application procedures. Applicants should contact their COG's criminal justice planner early as possible for instructions. The local criminal justice planners are also the first, best contact for questions regarding the application or program, and their contact information can be found here.

Unless otherwise specifically instructed, DO NOT UPLOAD ATTACHMENTS with further information. Use the space provided here to address any aspects of the project you consider relevant.

Program-Specific Questions

A. Specialty Courts

If applicant applying to fund a specialty court operating under Ch. 121 of the Texas Government Code, enter the **CJD ID for the court** (list available here). If applicant is not, enter "0":

B. Drug Testing

If the project tests program participants for drugs or alcohol, describe the testing policy, including the method used for testing and the frequency of testing for participants. Enter 'N/A' if the project does not have participants or those participants are not drug tested.

If participants enter the Teen Court program for a substance abuse offense or the defendant claims he/she has abused drugs in the past or currently, drug testing will be performed. Drug testing is conducted once per month for the ninety day program via urinalysis.

C. Juvenile Case Managers

Select the option that best describes the support for juvenile case managers (including programs administered by juvenile case managers) in this project:

- X No support for juvenile case managers
- _ Support for juvenile case managers to address truancy only
- _ Support for juvenile case managers to address juvenile crime

If this project includes support for juvenile case managers, select all options that apply. The juvenile case managers under this project will be employed or co-employed by:

- _ A truancy court under Chapter 65, Texas Family Code
- _ An independent school district
- _ A juvenile probation department
- _ A juvenile criminal court
- _ Another type of entity
- X This project does not support juvenile case managers

If this project supports juvenile case managers, list the names of the entities employing, co-employing, or utilizing the case managers, including the name of the truancy court and the name of the school district (if applicable). Projects that do not support juvenile case managers should enter N/A:

If this project supports juvenile case managers, list any of the entities entered immediately above that <u>currently</u> employ juvenile case managers. If the project does not support juvenile case managers or none of the entities currently employ them, enter N/A: N/A

If the applicant is applying for funds to support a juvenile case manager, check all that apply (all other applicants select the last option):

- _ Each county of the applicant retains funds collected under Sec. 102.015, Texas Code of Criminal Procedure
- Each municipality of the applicant retains funds collected under Sec. 102.015, Texas Code of Criminal Procedure
- _ Each county of the applicant currently collects fees to support juvenile case managers under Sec. 102.0174, Texas Code of

Criminal Procedure

- _ Each municipality of the applicant currently collects fees to support juvenile case managers under Sec. 102.0174, Texas Code of Criminal Procedure
- _ Each county of the applicant has established a judicial trust fund under Sec. 36.001, Texas Government Code
- _ Each municipality of the applicant has established a judicial trust fund under Sec. 36.001, Texas Government Code
- X Applicant is not applying for funds to support a juvenile case manager

D. Evaluation Projects

This section regards any evaluation budget line item and/or selection of "Program Evaluation" as a project activity.

Tier-One Evaluations

Evaluations of programs that have been implemented and the evaluations will test the fidelity of the program based on proven models or best-practices. The evaluation also will review available program output and outcome information.

Does this application include a tier-one evaluation?

_Yes

X No

If you answered 'YES' above, describe below the best practices/model to be used in a fidelity and performance evaluation, the goal(s) of the evaluation, and why it is needed. If you answered 'No' above, enter 'N/A'.

N/A

Tier-Two Evaluations

Evaluations directed at measuring the effectiveness of proposed new program models or significant changes in present program models. The goal of tier-two evaluations is both to measure the program's effectiveness and to produce data and evidence necessary for others to replicate the program models and to develop best practices that CJD can use in supporting similar efforts.

Does this application include a tier-two evaluation?

Yes

X No

If you answered 'YES' above, describe below why this new program model is needed and the goal(s) of the evaluation. If you answered 'No' above, enter 'N/A'.

N/A

X If you answered **NO** to both questions above, check this box.

E. Sustainment

How many additional years, beyond this request, do you plan to request continuation funding?

- If you entered three (3) years or fewer, provide a brief explanation of your sustainment plan (if you entered more than
 three years or the project will not to be sustained, enter 'N/A'):
 N/A
- 2) If more than three (3) years, explain the longer term sustainment plan or why other resources cannot be used to continue this project and why a sustainment strategy is not possible (if you entered three years or fewer or the project will not be sustained, enter 'N/A'):
 - Collin County is very supportive of the Teen Court program; however, the county does not typically provide social services such as substance abuse or mental health evaluations, counseling, and treatment. Instead the county prefers to provide linkages to existing professionals and social service agencies. Without grant funding, the Teen Court program will be unable to offer these services.

Certifications

In addition to the requirements found in existing statute, regulation, and the funding announcement, this program requires applicant organizations to certify compliance with the following:

A. Constitutional Compliance

Applicant assures that it will not engage in any activity that violates Constitutional law including profiling based upon race.

B. Information Systems

Applicant assures that any new criminal justice information systems will comply with data sharing standards for the Global Justice XML Data Model and the National Information Exchange Model.

C. Bulletproof Vests

Applicant assures that if it plans to purchase body armor with grant funds, that it has adopted a mandatory wear policy and that all vests purchased have been tested and found to comply with the latest applicable National Institute of Justice (NIJ) ballistic or stab standards. Additionally, vests purchased must be American-made.

D. Uniform Crime Reports

Eligible applicants operating a law enforcement agency must be current on reporting Part I violent crime data to the Texas Department of Public Safety for inclusion in the annual Uniform Crime Report (UCR) and must have been current for the twelve previous months.

E. Criminal History Reporting

The county (or counties) in which the applicant is located must have a 90% average on both adult and juvenile criminal history dispositions reported to the Texas Department of Public Safety for calendar years 2011 through 2015.

F. DNA Testing of Evidentiary Materials

When funds are used for DNA testing of evidentiary materials, any resulting eligible DNA profiles must be uploaded to the Combined DNA Index System (CODIS) by a government DNA lab with access to CODIS.

G. Interoperable Communications

Funds to support emergency communications activities must ensure compliance with the FY 2015 SAFECOM Guidance on Emergency Communications Grants; adherence to the technical standards set forth in the FCC Waiver Order, or any succeeding FCC orders, rules, or regulations pertaining to broadband operations in the 700 MHz public safety band; and are fully coordinated with the full-time Statewide Interoperability Coordinator (SWIC) for Texas.

H. Twelve-Step Programs

Grant funds may not be used to support or directly fund programs such as the Twelve Step Program which courts have ruled are inherently religious. OOG grant funds cannot be used to support these programs, conduct meetings, or purchase related materials.

I. Specialty Court Certifications

If the applicant is a specialty court operated under Ch. 121 of the Texas Government Code, the following certifications apply:

- 1. The specialty court will develop and maintain written policies and procedures for the operation of the program.
- 2. The applicant will submit a copy of any project evaluations, evaluation plans, recidivism studies, or related reports that are completed during the grant period to CJD.

J. Generated Program Income

Unless specifically and explicitly authorized to do otherwise by OOG, at OOG's sole discretion, the applicant will report Generated Program Income (GPI), which includes any portion of fees collected from program participants and retained by the grantee. GPI will be applied to the grant through a grant adjustment. GPI must be used to offset project costs and must be expended prior to seeking payment from OOG.

K. Immigration and Customs Enforcement Requests

The full text of this certification can be found here. To be in compliance with this requirement, any county or municipal government that includes a department that detains individuals after arrest for a criminal violation must provide a letter signed by the head of each such department certifying to the requirements. This letter may be used for any application submitted to OOG for a period of up to two years from the date it is signed. If that period expires during the project period of any grant, the grantee must submit an updated letter for each such grant to remain in compliance with this requirement.

All applicants must select one of the following options:

- _ Applicant is not a county or municipal government
- _ Applicant is a county or municipal government and does not include any department that detains individuals after arrest for a criminal violation at any time

X Applicant is a county or municipal government that includes department(s) that detain individuals after arrest for a criminal violation. Letters certifying compliance and signed by the heads of all such departments have been uploaded to this application. Applicant is a county or municipal government that includes department(s) that detain individuals after arrest for a criminal violation. The Authorized Official has read the certification found on the aforementioned CJD website. Further, the Authorized Official will not be submitting signed letters certifying compliance from the heads of all such departments and understands that failure to comply with this certification may result in OOG, at its sole discretion, rejecting this application and any other application from the relevant county or municipal government.

L. Civil Rights Liaison

A civil rights liaison who will serve as the grantee's civil rights point of contact and who will be responsible for ensuring that the grantee meets all applicable civil rights requirements must be designated. The designee will act as the grantee's liaison in civil rights matters with CJD and with the federal Office of Justice Programs.

Enter the Name of the Civil Rights Liaison:
Cynthia Jacobson
Enter the Address for the Civil Rights Liaison:
2300 Bloomdale Road, Suite 4117 McKinney, TX 75071
Enter the Phone Number for the Civil Rights Liaison [(999) 999-9999 x9999]:
(972) 548-4606

Overall Certification

Each applicant agency must certify to the specific requirements detailed above as well as to comply with all requirements within the CJD Funding Announcement, the *Guide to Grants*, the *Grantee Conditions and Responsibilities*, any authorizing or applicable state and federal statutes and regulations to be eligible for this program.

X I certify to all of the application content & requirements.

Project Narrative

Project Abstract

While teenagers frequently engage in acts (e.g., petty theft, criminal mischief, fighting) that may result in justice system contact, teens with substance abuse and/or mental (SAM) disorders are far more likely to have increased contact with law enforcement resulting in detention. Research shows that 8-10% of US teens meet the criteria for a serious emotional disturbance, but fewer than half receive treatment. Early identification and treatment of juveniles has been shown to help teens successfully navigate SAM disorder challenges and prevent further justice system involvement. However, when left untreated, juveniles with SAM disorders have an increased risk of engaging in delinquent behaviors leading to escalating criminal activity and progression into the adult justice system. Unfortunately, early identification can be difficult and treatment is frequently cost prohibitive.

Since it targets youth charged with minor misdemeanors, Collin County Teen Court has the unique opportunity to divert first-time offenders away from the juvenile justice system, while incorporating proven screening methods for early detection of SAM disorder indicators. Participants identified as having additional needs can be referred for professional evaluation and mental health / substance abuse treatment as part of the program requirements. Early detection linked with the provision of evaluation and treatment can improve behavioral outcomes and prevent further involvement with the justice system.

Problem Statement

Substance abuse and mental illness frequently occur together in juveniles (under 18 years), and a complex relationship exists between mental illness and substance abuse. Drug abuse can cause a mental illness; mental illness can lead to drug abuse; and/or drug abuse and mental disorders can both be caused by other common risk factors.

While teenagers frequently engage in acts (truancy, petty theft, criminal mischief, fighting, etc.) that could be the basis for contact with the criminal justice system, teens with substance abuse and/or mental (SAM) disorders are far more likely to have increased contact with law enforcement resulting in detention. Left untreated, juveniles with SAM disorders have an increased risk of social problems, violence, and engagement in other delinquent behaviors. Additionally, research suggests most adult mental health disorders emerge in childhood and adolescence and persist throughout life. Within the criminal justice system, the incidence of adults with SAM disorders is disproportionately high, lending credence that, left untreated, juveniles with SAM disorders feed into the adult justice system and highlighting the need for early intervention and treatment. Unfortunately, early identification can be difficult and treatment is frequently cost prohibitive.

Identifying and treating juveniles upon their first, usually minor, offense can help teens successfully navigate the challenges that come from experiencing SAM disorders and prevent further involvement with the justice system. However, leaving these early offenders untreated will likely lead to escalating and more serious criminal activity, increased law enforcement contact, detention with longer sentences, and progression into the adult justice system.

Supporting Data

Collin County's population increased 91% since 2000, from 491,772 to 939,585 persons; likewise, over the same time period, the juvenile (under age 18) population has increased by over 100,000 juveniles (1, 2, 3). Correspondingly, law enforcement interactions with juveniles has increased as has the need for juvenile services. Research has shown that one in every 4 to 5 juveniles meets the criteria for a lifetime SAM disorder associated with severe role impairment and/or distress; over 40% of those are diagnosed with multiple classes of disorders, and approximately 8-10% of US teens meet the current criteria for having a serious emotional disturbance (4). Fewer than half of youth with current disorders receive treatment (5).

Common indicators for potential SAM disorders in adolescents include, but are not limited to, excessive fear, anger, anxiety, or feelings of worthlessness; severe mood swings; extreme difficulties concentrating and impulsive behavior; exercising unusually poor judgment; and/or little to no care or concern for others (6). Teen Court offenses that may be indicative of potential SAM disorders include drug/alcohol related offenses, disorderly conduct, and/or assault. Since FY 2009, 17.8% of all offenses referred to Teen Court have been related to these 3 categories. Each month, an average of 2 to 3 teens are referred to Teen Court after pleading guilty or no contest to aggressive, disruptive, or substance abuse charges. Since July 2015, Teen Court has collected parental responses to surveys. Of the 352 responses received, 70 indicated the teen would benefit from both drug testing and counseling, while another 81 responded the teen would benefit from counseling, and 11 expressed the teen would benefit from drug testing but not counseling. Altogether, of the 352 responses, 162 (46%) of the respondents indicated the juvenile Teen Court participant would benefit from the services proposed in this project (7).

In 2012, the Collin County Teen Court Coordinator referred one particular participant charged with assault to be professionally evaluated; the participant was diagnosed as bipolar and schizophrenic. The teen's parents paid for the \$600 evaluation, but in many cases the teens and their families cannot afford testing, much less treatment. Of the 162 survey respondents who indicated a need for counseling and/or drug testing, 37% (n = 60) had an income level under \$40,000 to provide for an average of 3 children in the household (7). Unfortunately, participants who are identified as potentially needing intervention commonly drop out of the voluntary Teen Court program and pay their misdemeanor fines to the originating Justice of the Peace or Municipal Court, which is less expensive than evaluation and treatment.

For teens who participate in the program, the success rate is high. Of the 1,983 Teen Court participants between FY 2010 through FY 2017, 83% (n=1,644) successfully completed the program. During this time period, offenses included truancy (31%); speeding and driving offenses (27%); theft under \$50 (21%); possession/consumption of alcohol or tobacco (9%); disorderly conduct (5%); criminal mischief, classroom disruption, and other violations (4%), and assault (3%).

- 1. "Collin County QuickFacts." State and County QuickFacts. US Census Bureau. [Accessed Sep 20, 2017]. http://quickfacts.census.gov/qfd/states/48/48085.html.
- 2. Texas Association of Counties. Basic county data: Collin County profile." Austin, TX: The County Information Program. [Accessed Sep 27, 2016]. www.txcip.org/tac/census/profile.php?FIPS=48085.
- 3. "Intercensal Estimates of the Resident Population by Five-Year Age Groups and Sex for Counties: April 1, 2000 to July 1, 2010," US Census Bureau, Population Division, Oct 2012. [Accessed Sep 27, 2016]. http://www.census.gov/popest/data/intercensal/county/county2010.html.
- 4. Merikangas KR, He JP, Burstein M, et. al. Lifetime prevalence of mental disorders in US adolescents: Results from the National Comorbidity Study-Adolescent Supplement (NCS-A). J Am Acad Child Adolesc Psychiatry. 2010 Oct; 49(10): 980-989.
- 5. Department of Health and Human Services. Identifying mental health and substance use problems of children and adolescents: A guide for child-serving organizations (HHS Publication No. SMA 12-4670). Rockville, MD: Substance Abuse and Mental Health Services Administration. 2011.
- 6. The REACH Institute. The Action Signs Project: A Toolkit to Help Parents, Educators and Health Professionals Identify Children at Behavioral and Emotional Risk. 2011. [Accessed Jan 17, 2014]. www.thereachinstitute.org/files/documents/action-signs-toolkit-final.pdf.
- 7. Collin County. Teen Court reports. 2007 2016.

Project Approach & Activities

Teen Court is an ideal way to identify early SAM disorder indicators before comprehensive criminal justice involvement is required. Teen Court is a 90-day program that provides alternative sentencing to first-time adolescent (ages 12 to 18) offenders charged with Class C misdemeanors. Sentences are meant to be constructive, enable offenders to understand the harm caused

by their behavior, make amends to the person(s) affected by their actions, and improve relationships with victims and the community. Following completion of the program, the misdemeanor is dismissed from the adolescent's record.

At the original misdemeanor sentencing hearing, the Justice of the Peace or Municipal Judge may include participation in the Teen Court program as part of probation. After this referral to Teen Court, all participants will complete the Massachusetts Youth Screening Instrument (MAYSI-2), which is a short behavioral and substance abuse screening tool which can be quickly scored by a non-mental health professional. Additionally, the Teen Court Coordinator may identify the potential need for substance abuse and/or mental health evaluation or treatment through post-hearing discussions with the Judge, mandatory follow on contact with the teen's school, or parental meetings.

After referral, a Teen Court hearing is set. At the hearing, defendants explain and admit their wrongful acts before a jury of their peers, accepting whatever sentence is imposed. Sentencing options usually include community service, apology letters, research papers/essays, and future Teen Court jury duty. While the youth "jury" volunteers may include drug testing as part of the sentence, the requirement for evaluation and/or treatment will be assigned by the Teen Court Coordinator after the hearing is over.

The sentencing process begins the 13-week (90-day) program period, and the Coordinator will refer participants, as needed, for professional evaluation. Following evaluation results, participants with treatment needs will have up to twelve 1-hour treatment sessions with a licensed professional provided by grant funds. Treatment modalities are based on each individual's needs and may include Cognitive Behavioral Therapy, Solution-Focused Brief Therapy, Motivational Interviewing, Problem-Solving Therapy, Dialectical Behavior Therapy, Eye Movement Desensitization and Reprocessing, Reality Therapy, Rational Emotive Behavior Therapy, Alderian Therapy, Client-Centered Therapy, and/or Gestalt Therapy. As an incentive to attend treatment, the participant's community service hours will be reduced by the corresponding number of treatment hours. Drug testing will be conducted on participants in need of substance abuse treatment. In addition, participants will be connected with community based programs, maximizing successful service linkage to improve rehabilitation.

The Teen Court Coordinator will survey the participants, using self-reporting and completion of the MAYSI-2, at the end of the program and again 6 months later to determine if the teens have had any further law enforcement interactions, show improved/positive mental health, and/or remain drug free.

The program targets youth who commit minor offenses and diverts them out of the traditional juvenile justice system to hearings staffed by volunteers their own age. By bringing the teens before a jury of their peers, the program encourages juvenile offenders to address the root cause of the behavior and take responsibility for their actions, thereby preventing future criminal activity. Juvenile offenders "pay" for their citation through community service and involvement in the judicial process. Sentences are generally dispensed based on the crime committed and offender characteristics, such as age. For example, a teen charged with truancy may be required to write an essay on the importance of school and receive "service" credit hours for each day he/she attends school, while a teen charged with theft under \$50 may be sentenced with 20-40 hours of community service, an essay on peer pressure, an apology letter to the retailer, and serving on a future Teen Court jury. According to research, teen courts lower the re-offending rate from 20% for traditional juvenile offenders to 6-9%.

Since participants are generally first-time offenders, Teen Court is an ideal way to identify early SAM disorder indicators before comprehensive criminal justice involvement occurs. Identifying and treating juveniles upon their first, usually minor, offense can help teens successfully navigate the challenges that come from experiencing SAM disorders and prevent further involvement with the justice system. However, leaving these early offenders untreated will likely lead to escalating and more serious criminal activity, increased law enforcement contact, detention with longer sentences, and progression into the adult justice system.

Capacity & Capabilities

Collin County Teen Court was established in late-2006 and receives case referrals from Justice and Municipal Courts. Teen Court is a voluntary program for juvenile offenders to "pay" for their citation through community service and involvement in the judicial process. With Court and parental approval, juveniles (ages 12 to 18) charged with Class C misdemeanors may plead guilty and be referred to the 90-day Teen Court program. Each year between 200 and 300 teens are referred to the Teen Court program. Since FY 2010, Teen Court has conducted 401 hearings for 1,983 cases with an 83% success rate.

The current Program Coordinator has nearly seven years' experience administrating the Teen Court program and previous experience working with at-risk families. The Coordinator has earned a Bachelor of Science in Business Administration and a Master of Liberal Studies, and is Spanish/English bilingual. In addition to working with juveniles, parents, and schools for the past seven years, the Program Coordinator has also been screening all participants with the MAYSI-2 tool pre- and post-program for the past four years.

Evaluation and treatment are provided by licensed professionals employed by the Local Authority for Mental Health and Substance Abuse Services in Collin County. The community-based nonprofit organization has been operational for over 30 years and is a comprehensive behavioral health service provider staffed with highly qualified and experienced psychiatrists, nurse practitioners, licensed therapists, registered nurses, mental health specialists, licensed chemical dependency counselors, and peer support staff. Two Licensed Clinical Social Workers (LCSW) and three licensed Professional Counselors (LPC) will be utilized for the proposed Teen Court program.

Performance Management

Goal: Use the Collin County Teen Court to identify and diagnose teens with substance abuse and mental health disorders early and provide access to treatment improving behavioral outcomes and preventing further involvement with the justice system.

Objective: Reduce recidivism by confirming that at least 70% of Teen Court participants do not reoffend 6 months post-program completion.

Measures:

- 1. Screen/assess all Teen Court participants using the MAYSI-2 screening tool.
- 2. Provide professional substance abuse/mental health evaluation for 20 participants based on screening results.
- 3. Provide twelve 1-hour outpatient treatment sessions for 20 participants with 80% attending all sessions.
- 4. Survey participants 6 months post-program and re-screen using MAYSI-2 tool with 80% showing improved/maintained mental health.

Data Management

The Coordinator maintains a file for each participant and records a summary of pertinent information in an Excel spreadsheet kept on Collin County's secure network. Data points include participant contact information, cause number, offense/charge, date referral received, Teen Court hearing date, sentencing information including community service hours assigned and completed, and program success or failure. MAYSI-2 screening scores are calculated and maintained within the licensed software application on the Coordinator's computer. The contracted service provider submits invoices with dates of service for participants. Surveys are conducted and maintained through Google Docs, which are exported into Excel format for data analysis. Analysis is performed by Collin County staff to identify trends. Additionally, the Coordinator maintains any other documentation and/or correspondence needed for tracking and reporting program measures.

Target Group

This project targets any juvenile (between 12 to 18 years of age) who has plead guilty or no contest to a Class C misdemeanor, been referred to the Collin County Teen Court, and exhibits a need for mental health and/or substance abuse evaluation and treatment. Collin County's juvenile population (under age 18) is approximately 250,000 persons. Research has shown that one in every 4 to 5 juveniles meets the criteria for a lifetime SAM disorder associated with severe role impairment and/or distress; however, fewer than half of youth with current disorders receive treatment. Early identification and treatment of juveniles has been shown to help teens successfully navigate SAM disorder challenges and prevent further justice system involvement. Unfortunately, early identification can be difficult and treatment is frequently cost prohibitive.

Evidence-Based Practices

The proposed project utilizes academically researched and evidence-based practices to meld multiple state priorities together for a comprehensive diversionary juvenile justice program that provides mental health and substance abuse services to first-time youth offenders.

Teen (or youth) courts are programs designed to divert young, first-time offenders from the traditional, overburdened juvenile justice system to an informal process that incorporates components of restorative justice and pro-social peer pressure to hold youth accountable for their offenses and prevent future delinquency (2,4). As youth courts are the most replicated program to combat juvenile delinquency since the establishment of OJJDP with more than 1250 functioning in 49 states (2), they present opportunities for providing appropriate services treatments at the community level for first-time offenders.

One of the most important first steps to respond to the mental health and substance abuse treatment needs of youth in the juvenile justice system is to systematically identify those needs among youth at their earliest point of contact with the juvenile justice system (3). Increased awareness of youth mental health needs, along with recent scientific research demonstrating the prevalence of youth with mental health needs, has led to the development of a wide range of easy-to-use mental health screening tools for juvenile justice (1). Of these, the Massachusetts Youth Screening Instrument-Second Version (MAYSI-2), a 52 question self-report screening tool that identifies potential mental health and substance abuse problems, is the most widely used. This tool has been examined in more than 50 research studies, and it is possibly the only tool with national norms (5).

Additionally, the State of Texas, has adopted the MAYSI-2 as the mandatory mental health screening tool for all youth referred to local juvenile probation departments.

Collin County Teen Court has the unique opportunity to divert first-time, usually minor, offenders away from the formal juvenile justice system, while incorporating the early detection of mental health and substance abuse needs through screening of all participants using the MAYSI-2 screening tool. Persons identified by the screening tool as potentially having additional needs will be referred for professional evaluation and mental health or substance abuse treatment as part of the program requirements. Through grant funds, individualized treatment will be provided with licensed professionals connected with community-based programs to maximize successful service linkages and improve rehabilitation. Early detection linked with the provision of the evaluation and treatment, can improve behavioral outcomes and prevent further involvement with the justice system.

- 1. National Center for Mental Health and Juvenile Justice. Mental Health Screening within Juvenile Justice: The Next Frontier. Delmar, NY: National Center for Mental Health and Juvenile Justice, 2007.
- 2. Schneider, J.M. Youth Courts: An Empirical Update and Analysis of Future Organizational and Research Needs, Hamilton Fish Institute Reports and Essays Serial. Washington, DC: Hamilton Fish Institute on School and Community Violence, The George Washington University, 2007.
- 3. Skowyra, K. and J. Cocozza. Blueprint for Change: A Comprehensive Model for the Identification and Treatment of Youth with Mental Health Needs in Contact with the Juvenile Justice System. Washington, DC: US Department of Justice, Office of Juvenile Justice and Delinquency Prevention, 2007.
- 4. Stickle, W., N.M. Povitsky, M. Connell, D.M. Wilson, and D.C. Gottfredson. "An Experimental Evaluation of Teen Courts." J of Exp Criminol 4 (Apr 2008):137–63.
- 5. Vincent, G.M. Screening and Assessment in Juvenile Justice Systems: Identifying Mental Health Needs and Risk of Reoffending. Washington, DC: Technical Assistance Partnership for Child and Family Mental Health, 2012.

Project Activities Information

Introduction

This section contains questions about your project. It is very important for applicants to review their funding announcement for guidance on how to fill out this section. Unless otherwise specified, answers should be about the EXPECTED activities to occur during the project period.

For the activities under the "OOG-Defined Project Activity Area" section near the end of this page, see *CJD Grant Activities* and *Measures* for definitions and related measures, available at <u>CJD's resources page</u>.

Estimated Individuals Participating / Served / Trained

This question is for the majority of CJD grants that serve or train individuals, or has individuals participating in a program. This question does not apply to projects that ONLY purchase equipment, etc., that will be used generally (such as purchasing a colposcope, vehicle, or communications system), or target the general public (a public awareness campaign, etc.). Please estimate the following <u>for the project period</u>, or if this does not apply to your project, enter "0" in each box.

Number of individuals NEWLY participating/ trained/ being served: 20

Number of carry-over individuals participating/ training/ being served in the program at the beginning of the project period:

Number of individuals who will receive the full course of services/ successfully complete the program or training:

Choose one:

- _ My program does not have individuals served or participating
- _My program's typical designed (ideal) length is best measured in HOURS of services delivered/ participation/ training for each individual
- X My program's typical designed (ideal) length is best measured in DAYS of services delivered/participation/training for each individual

Enter the number of hours or days (depending on selection above) of the typical designed (ideal) length of the program for each

individual. Enter "0" if you indicated that your program does have individuals participating, served, or trained:

Special Project Types and Information

Select <u>all</u> special project types that apply to your project.

Task forces: Project will support the operations and coordination activities of a task force.

_Yes

X No

If you answered 'YES' above, enter the name of the task force. If you selected No, enter N/A.

N/A

If you answered 'YES' above, enter the agencies or organizations that participate in the above-named task force. If you selected **No**, enter **N/A**.

N/A

Gang activity: Project involves a focus specifically on gang activity.

_Yes

X No

Transnational and organized crime: Project involves a focus specifically on transnational and organized crime.

_Yes

X No

Border activities: Project involves a focus specifically related to the Texas-Mexico border.

_Yes

X No

Human trafficking (select all that apply):

- _ Project focuses on human trafficking
- _ Project specifically focuses on trafficking of minors
- _ Project specifically focuses on trafficking of adults
- _ Project specifically focuses on sex trafficking
- _ Project specifically focuses on labor trafficking
- X Project does not have any particular focus on human trafficking

Juvenile Justice Projects

Select ONE category below that best describes the project's juvenile crime activities. Definitions are available <u>here</u>.

- Diversion
- _ Mental health services
- _ Aftercare/reentry
- _ After-school programs
- _ Alternatives to detention
- _ Community-based programs and services
- _ Delinquency prevention
- _ Girl-focused services
- School programs
- X Substance and alcohol abuse
- _ Disproportionate minority contact
- _ Mentoring, counseling and training programs
- _ Job training
- _ Aptitude testing
- _ Diversion in a rural setting
- _ Project does NOT have a particular focus on juvenile crime

Campus-Based Projects

This project is based on – or serves – one or more specific educational campuses (K-12 or higher education).

How many TOTAL students at ALL campuses will be served by the project? (enter "0" if this project is not based on – or serves – specific educational campuses):

0

List each educational campus that will be served by this project. Enter 'N/A' if this project is not based on – or serves – specific educational campuses.

N/A

Crime or Victim Type

This question is for justice projects that target specific crimes and ALL victim services projects. Others may enter "100" under "All other crimes". Applicants to serve victims of or prosecute/investigate/prevent exclusively child sex trafficking victims should assign 100% to that category.

Select the type(s) of crime or crime victim this project targets and provide the percentage of time dedicated to each. Applicants with projects that target multiple-offense offenders or multiple-victimization victims should assign percentages that best describe the activity. Percentages may not exceed 100%.

```
Sexual Assault (%):
                                                                   Elder Abuse (%):
0%
                                                                    Robbery (%):
Domestic Abuse (%):
                                                                    0%
Child Abuse (%):
                                                                    Stalking
DUI / DWI (crashes for victim services) (%):
                                                                    Dating / Acquaintance Violence (%):
Survivors of Homicide (%):
                                                                   Human Trafficking (%):
0%
Assault (%):
                                                                    Child Sex Trafficking (%):
0%
Adults Molested as Children (%):
                                                                    All Other Crimes (%):
                                                                    100%
0%
```

Select Your Project Purpose Area

Select the Purpose Area that best describe the goals of your project.

Make sure your choice is accurate – this data is used in funding decisions. Definitions of the purpose areas are available here.

Victim Services

- _ General Victim Services
- _ Transitional Housing and Support Services
- _ College Campus Victim Assistance
- _ Sexual Assault Forensic Services
- _ Victim Assistance System Improvement

General Justice System Support

- _ General Operational Support
- _ General Administrative Support

Targeted Criminal Justice Response

- _ Targeted Investigations
- _ Specialized Prosecutions
- _ Targeted Response Operational Support
- _ Targeted Response Administrative Support

Recidivism Reduction

- X Diversion and Community Supervision
- _ Re-Entry

Prevention or Intervention

- Criminal and Delinquent Behavior Prevention
 Public Safety and Security Awareness

Crime Stoppers

_ Crime Stoppers Projects

Selected Project Activities

ACTIVITY	PERCENTAGE	DESCRIPTION
Counseling or Treatment for Substance Abuse	40	Teen Court can help detect and refer youth with potential substance abuse issues to evaluation and treatment as part of program completion. Teen Court participants will be required to complete a behavioral and substance abuse screening tool. Results will be used to refer participants for further assessment and treatment/counseling with licensed professionals and connected with community based programs, maximizing successful service linkage to improve rehabilitation.
Counseling, Therapy, or Other Care Performed by a Licensed Professional	60	Teen Court can help detect and refer youth with potential mental health disorders to evaluation and treatment as part of program completion. Teen Court participants will be required to complete a behavioral and substance abuse screening tool. Results will be used to refer participants for further assessment and treatment/counseling with licensed professionals and connected with community based programs, maximizing successful service linkage to improve rehabilitation.

Measures Information

Objective Output Measures

OUTPUT MEASURE	TARGET LEVEL
*REQUIRED TOTAL: Counseling or treatment for substance abuse: Individuals receiving	8
*REQUIRED TOTAL: Counseling, therapy, or other care performed by a licensed professional: Hours delivered	240
*REQUIRED TOTAL: Counseling, therapy, or other care performed by a licensed professional: Individuals receiving	20
Alcohol and/or drug testing – random: Individuals receiving	20
Alcohol continuous monitoring: Individuals receiving	0
Licensed counseling/therapy: Individuals assessed or screened for needs	120
Licensed trauma-informed therapy: Individuals receiving	0
Licensed treatment for mental health disorders: Individuals receiving	12
Multi-disciplinary care teams: Individuals receiving care	0
Sexual assault exams performed by a certified Sexual Assault Nurse Examiner (SANE): Individuals receiving	0

Sexual assault exams performed by someone other than a certified Sexual Assault Nurse Examiner (SANE): Individuals receiving	0
Sexual Assault Response Teams (SART) (MUST include a law enforcement representative, medical professional, and community-based advocate): Individuals receiving COORDINATED CARE	0
Substance abuse AFTERCARE counseling by a LICENSED professional	0
Substance abuse AFTERCARE counseling by peers or caseworkers: Individuals receiving	0
Substance abuse counseling or support by peers or caseworkers: Individuals receiving	0
Substance abuse treatment by a LICENSED professional: Individuals receiving	8
Substance abuse treatment using medically assisted treatment (MAT): Individuals receiving	0

Resolution from Governing Body

Applications from nonprofit corporations, local units of governments, and other political subdivisions must include a <u>resolution</u> that contains the following:

- 1. Authorization by your governing body for the submission of the application to CJD that clearly identifies the name of the project for which funding is requested;
- 2. A commitment to provide all applicable matching funds;
- 3. A designation of the name and/or title of an authorized official who is given the authority to apply for, accept, reject, alter, or terminate a grant (Note: If a name is provided, you must update CJD should the official change during the grant period.); and
- 4. A written assurance that, in the event of loss or misuse of grant funds, the governing body will return all funds to CJD.

Upon approval from your agency's governing body, upload the <u>approved</u> resolution to eGrants by clicking on the **Upload Files** sub-tab located in the **Summary** tab.

Contract Compliance

Will CJD grant funds be used to support any contracts for professional services?

X Yes

_No

For applicant agencies that selected **Yes** above, describe how you will monitor the activities of the sub-contractor(s) for compliance with the contract provisions (including equipment purchases), deliverables, and all applicable statutes, rules, regulations, and guidelines governing this project.

All County contractors submit monthly reports, including programmatic reports, which are reconciled and audited to ensure contractor is fulfilling statement of work and expenses match receipts. Program contractors will be required to submit a roster of participants who participate in counseling or therapy as invoiced. The contractor will certify that no material goods have been purchased but all funds were expended for therapy or counseling only.

Lobbying

For applicant agencies requesting grant funds in excess of \$100,000, have any federally appropriated funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant loan, or cooperative agreement?

_Yes

_No

X N/A

For applicant agencies that selected either **No** or **N/A** above, have any non-federal funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress in connection with this federal contract, loan, or cooperative agreement?

Yes

X No

_ N/A

Fiscal Year

Provide the begin and end date for the applicant agency's fiscal year (e.g., 09/01/20xx to 08/31/20xx).

Enter the Begin Date [mm/dd/yyyy]:

10/01/2018

Enter the End Date [mm/dd/yyyy]:

09/30/2019

Sources of Financial Support

Each applicant must provide the amount of grant funds expended during the most recently completed fiscal year for the following sources:

Enter the amount (\$) of Federal Grant Funds:

\$3,000,106

Enter the amount (\$) of State Grant Funds:

\$1,665,186

Single Audit

Applicants who expend less than \$750,000 in federal grant funding or less than \$750,000 in state grant funding are exempt from the Single Audit Act and cannot charge audit costs to a CJD grant. However, CJD may require a limited scope audit as defined in 2 CFR Part 200, Subpart F - Audit Requirements.

Has the applicant agency expended federal grant funding of \$750,000 or more, or state grant funding of \$750,000 or more during the most recently completed fiscal year?

X Yes

_No

Applicant agencies that selected **Yes** above, provide the date of your organization's last annual single audit, performed by an independent auditor in accordance with the State of Texas Single Audit Circular; or CFR Part 200, Subpart F - Audit Requirements.

Enter the date of your last annual single audit:

3/30/2017

Equal Employment Opportunity Plan

Type I Entity

Defined as an applicant that meets one or more of the following criteria:

- the applicant has less than 50 employees;
- the applicant is a non-profit organization;
- the applicant is a medical institution;
- the applicant is an Indian tribe;
- the applicant is an educational institution, or
- the applicant is receiving a single award of less than \$25,000.

Requirements for a Type I Entity

- The applicant is exempt from the EEOP requirements required to prepare an EEOP because it is a Type I Entity as defined above, pursuant to 28 CFR 42.302;
- the applicant must complete Section A of the <u>Certification Form</u> and send it to the Office for Civil Rights (OCR) to claim the exemption from developing an EEOP; and
- the applicant will comply with applicable federal civil rights laws that prohibit discrimination in employment and in the delivery of services.

Type II Entity

Defined as an applicant that meets the following criteria:

- the applicant has 50 or more employees, and
- the applicant is receiving a single award of \$25,000 or more, but less than \$500,000.

Requirements for a Type II Entity - Federal law requires a Type II Entity to formulate an EEOP and keep it on file.

• The applicant agency is required to formulate an EEOP in accordance with 28 CFR 42.301, et seq., subpart E;

- the EEOP is required to be formulated and signed into effect within the past two years by the proper authority;
- the EEOP is available for review by the public and employees or for review or audit by officials of CJD, CJD's designee, or the Office of Civil Rights, Office of Justice Programs, U.S. Department of Justice, as required by relevant laws and regulations;
- the applicant will comply with applicable federal civil rights laws that prohibit discrimination in employment and in the delivery of services; and
- the EEOP is required to be on file in the office of (enter the name and address where the EEOP is filed below):

Enter the name of the person responsible for the EEOP and the address of the office where the EEOP is filed: Cynthia Jacobson, 2300 Bloomdale Rd, McKinney TX 75071

Type III Entity

Defined as an applicant that is NOT a Type I or Type II Entity.

Requirements for a Type III Entity - Federal law requires a Type III Entity to formulate an EEOP and submit it for approval to the Office for Civil Rights, Office of Justice Programs, U.S. Department of Justice.

- The EEOP is required to be formulated and signed into effect within the past two years by the proper authority;
- the EEOP has been submitted to the Office of Civil Rights (OCR), Office of Justice Programs, U.S. Department of Justice and has been approved by the OCR, or it will be submitted to the OCR for approval upon award of the grant, as required by relevant laws and regulations; and
- the applicant will comply with applicable federal civil rights laws that prohibit discrimination in employment and in the delivery of services.

Based on the definitions and requirements above, the applicant agency certifies to the following entity type:

- _ Type I Entity
- _ Type II Entity
- X Type III Entity

Debarment

Each applicant agency will certify that it and its principals (as defined in 2 CFR Part 180.995):

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from participation in this transaction by any federal department or agency;
- Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered
 against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or
 performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or
 state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records,
 making false statements, or receiving stolen property; or
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in the above bullet; and have not within a three-year period preceding this application had one or more public transactions (federal, state, or local) terminated for cause or default.

Select the appropriate response:

X I Certify

_ Unable to Certify

If you selected **Unable to Certify** above, please provide an explanation as to why the applicant agency cannot certify the statements. Enter the debarment justification: N/A

FFATA Certification

Certification of Recipient Highly Compensated Officers

The Federal Funding Accountability and Transparency Act (FFATA) requires Prime Recipients (CJD) to report the names and total compensation of each of the five most highly compensated officers (a.k.a. positions) of each sub recipient organization for the most recently completed fiscal year preceding the year in which the grant is awarded if the subrecipient answers **YES** to the **FIRST** statement but **NO** to the **SECOND** statement listed below.

In the sub recipient's preceding completed fiscal year, did the sub recipient receive: (1) 80 percent or more of its annual gross revenue from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements; AND (2)

\$25,000,000 or more in annual gross revenue from Federal contracts (and subcontracts), loans, grants (and subgrants) and cooperative agreements?

Yes X No

Does the public have access to information about the compensation of the senior executives through periodic reports filed under Section 13(a) or 15(d) of the Securities Exchange Act of 1934 (15 U.S.C. 78m(a), 78o(d)) or Section 6104 of the Internal Revenue Code of 1986?

X Yes No

If you answered **YES** to the **FIRST** statement and **NO** to the **SECOND** statement, please provide the name and total compensation amount of each of the five most highly compensated officers (a.k.a. positions) within your agency for the current calendar year. If you answered NO to the first statement you are NOT required to provide the name and compensation amounts. NOTE: "Total compensation" means the complete pay package of each of the sub recipient's compensated officers, including all forms of money, benefits, services, and in-kind payments (see SEC Regulations: 17 CCR 229.402).

Budget Details Information

Budget Information by Budget Line Item

CATEGORY	SUB CATEGORY	DESCRIPTION	CJD	CASH MATCH	IN- KIND MATCH	GPI	TOTAL	UNIT /%
Contractual and Professional Services	Drug Analysis or Employee Drug Testing Services	Lab supplies and service fees for drug screening tests; 20 participants, 3 test per participant, \$30 each test	\$1,800.00	\$0.00	\$0.00	\$0.00	\$1,800.00	0
Contractual and Professional Services	Mental Health Assessment Services	Initial substance abuse and/or mental health evaluations, 20 participants @ \$300 each	\$6,000.00	\$0.00	\$0.00	\$0.00	\$6,000.00	0
Contractual and Professional Services	Non-Substance Abuse-Related Case Management, Forensic Interviews, Counseling, Outpatient, and/or Treatment Services	Out-patient treatment provided by licensed professional; 12 sessions @ \$100 per session, 12 participants	\$14,400.00	\$0.00	\$0.00	\$0.00	\$14,400.00	0
Contractual and Professional Services	Substance Abuse- Related Case Management, Counseling, Outpatient, and/or Treatment Services	Out-patient treatment provided by licensed professional; 12 sessions @ \$100 per session, 8 participants	\$9,600.00	\$0.00	\$0.00	\$0.00	\$9,600.00	0

Source of Match Information

Summary Source of Match/GPI

Total Report	Cash Match	In Kind	GPI Federal Share	GPI State Share
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

Budget Summary Information

Budget Summary Information by Budget Category

CATEGORY	CJD	CASH MATCH	IN-KIND MATCH	GPI	TOTAL
Contractual and Professional Services	\$31,800.00	\$0.00	\$0.00	\$0.00	\$31,800.00

Budget Grand Total Information

CJD	CASH MATCH	IN-KIND MATCH	GPI	TOTAL
\$31,800.00	\$0.00	\$0.00	\$0.00	\$31,800.00