

COLLIN COUNTY BROKER/DEALER LIST 2019

First Empire Securities

Broker Since: May 1998

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Bill Kodak - CRD# 1659326

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IRMA: Yes / Bond Proceeds Cert: No

FTN Financial Securities Corp

Broker Since: Jan 2000

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Zach Brewer - CRD# 4601565

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IRMA: No / Bond Proceeds Cert: Yes

Hilltop Securities

Broker Since: Apr 1989

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IRMA: Yes / Bond Proceeds Cert: No

Multi-Bank Securities, Inc.

Broker Since: Feb 2012

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IRMA: No / Consent Form: Yes

Oppenheimer & Co., Inc.

Broker Since: Oct 2013

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IRMA: Yes / Bond Proceeds Cert: Yes

Vining Sparks

Broker Since: Mar 2010

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IRMA: No / Bond Proceeds Cert: Yes