

# COLLIN COUNTY BROKER/DEALER LIST 2019

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## **FTN Financial Securities Corp**

**Broker Since: Jan 2000**  
CRD# 46346 / SEC# 8-51393  
920 Memorial City Way, 11<sup>th</sup> Floor  
Houston, TX 77024

**Zach Brewer** - CRD# 4601565

(800) 489-8455  
[Zach.Brewer@ftnfinancial.com](mailto:Zach.Brewer@ftnfinancial.com)  
IRMA: No / Bond Proceeds Cert: Yes

## **Hilltop Securities**

**Broker Since: Apr 1989**  
CRD# 6220 / SEC# 8-45123  
300 West 6th Street, Suite 1940  
Austin, TX 78701

**Linda Callaway** - CRD# 1364037

(512) 481-2041 Direct  
[lcallaway@firstsw.com](mailto:lcallaway@firstsw.com)  
IRMA: Yes / Bond Proceeds Cert: No

## **Multi-Bank Securities, Inc.**

**Broker Since: Feb 2012**  
CRD# 22098 / SEC# 8-39547  
2400 E. Commercial Blvd., Suite 812  
Ft. Lauderdale, FL 33308

**Fernando Pulido** - CRD# 5634138

(866) 355-0113 (954) 552-3423 Cell  
[FPulido@mbssecurities.com](mailto:FPulido@mbssecurities.com)  
IRMA: No / Consent Form: Yes

## **Oppenheimer & Co., Inc.**

**Broker Since: Oct 2013**  
CRD# 249 / SEC# 8-4077  
50 South 6th Street, Suite 1300  
Minneapolis, MN 55402

**Paul Sullivan** - CRD# 1047426

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IRMA: Yes / Bond Proceeds Cert: Yes

## **Stifel Nicolaus & Company, Inc.**

(Acquired First Empire Securities 01/01/19)  
**Broker Since: May 1998**  
CRD# 793 / SEC# 8-1447  
100 Motor Parkway, 2nd Floor  
Hauppauge, NY 11788

**Bill Kodak** - CRD# 1659326

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IRMA: Yes / Bond Proceeds Cert: No

## **Vining Sparks**

**Broker Since: Mar 2010**  
CRD# 27502 / SEC# 8-43150  
775 Ridge Lake Blvd., Suite 200  
Memphis, TN 38120

**Darlyne Haba** - CRD# 5361931

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IRMA: No / Bond Proceeds Cert: Yes