

COLLIN COUNTY BROKER/DEALER LIST 2019

FTN Financial Securities Corp

Broker Since: Jan 2000

CRD# 46346 / SEC# 8-51393
920 Memorial City Way, 11th Floor
Houston, TX 77024

Zach Brewer - CRD# 4601565

(800) 489-8455

Zach.Brewer@ftnfinancial.com

IRMA: No / Bond Proceeds Cert: Yes

Hilltop Securities

Broker Since: Apr 1989

CRD# 6220 / SEC# 8-45123
300 West 6th Street, Suite 1940
Austin, TX 78701

Linda Callaway - CRD# 1364037

(512) 481-2041 Direct

lcallaway@firstsw.com

IRMA: Yes / Bond Proceeds Cert: No

Multi-Bank Securities, Inc.

Broker Since: Feb 2012

CRD# 22098 / SEC# 8-39547
13062 Highway 290 West, Suite #111
Austin, TX 78737

Fernando Pulido - CRD# 5634138

(866) 355-0113 (954) 552-3423 Cell

FPulido@mbsscurities.com

IRMA: Yes / Consent Form: Yes

Oppenheimer & Co., Inc.

Broker Since: Oct 2013

CRD# 249 / SEC# 8-4077
505 Main Street
Fort Worth, TX 76102

Paul Sullivan - CRD# 1047426

(612) 337-2715

50 S. 6th Street #1300, Minneapolis MN 55402

paul.sullivan@opco.com

IRMA: No / Consent Form: Yes

SeaCap Investment Advisors

Since: Apr 2011

CRD# 110552 / SEC# 801-10883
901 South Mopac Expressway South
Austin, TX 78746

Stephen Frahm - CRD# 2763727

(206) 654-0483 Office

701 5th Ave. #4050, Seattle WA 98104

sfracm@dadco.com

Stifel Nicolaus & Company, Inc.

(Acquired First Empire Securities 01/01/19)

Broker Since: May 1998

CRD# 793 / SEC# 8-1447
5956 Sherry Lane, Suite #875
Dallas, TX 75225

Bill Kodak - CRD# 1659326

(800) 645-5424

100 Motor Pkwy, 2nd Floor, Hauppauge NY 11788

bkodak@stifel.com

IRMA: Yes / Bond Proceeds Cert: No

Vining Sparks

Broker Since: Mar 2010

CRD# 27502 / SEC# 8-43150
6351 Preston Road, Suite #225
Frisco, TX 75034

Darlyne Haba - CRD# 5361931

(800) 786-1268

775 Ridge Lake Blvd. #200, Memphis TN 38120

darlyne.haba@viningsparks.com

IRMA: No / Bond Proceeds Cert: Yes

COLLIN COUNTY BANK ACCOUNTS

American National Bank

Since: Oct 2008

Wealth Management Group Trust Services
1010 W. Ralph Hall Pkwy. Suite #112
Rockwall, TX 75032

Vickie Gutierrez

AVP & Trust Officer

(214) 863-6548

VickieGutierrez@anbtx.com

East West Bank

Since: Dec 2017

9090 Katy Freeway, 3rd Floor
Houston, TX 77024

Jia Li

Deposit Specialist

(832) 973-8934

Jia.Li@eastwestbank.com

Farmer's Bank & Trust

Since: Mar 2017

1061 N. Coleman Street
Suite #100
Prosper, TX 75078

Ray Smith

Market President

(469) 296-1246 Office

(214) 232-8806 Cell

Ray.Smith@myfarmers.bank

Legacy Texas Bank

Since: Feb 2015

5851 Legacy Circle
Suite #1000
Plano, TX 75024

Tammy Paul

Treasury Sales Analyst

(214) 217-7029 Office

(972) 977-1357 Cell

Tammy.Paul@LegacyTexas.com

COLLIN COUNTY POOLED FUNDS INVESTMENT ACCOUNTS

LOGIC

Since: Nov 1994

1201 Elm Street
Suite #3500
Dallas, TX 75270

Mica Owens

Regional Account Representative
(800) 839-7827 Toll Free
(214) 953-4199 Direct
Mica.owens-weary@firstsw.com

Texas CLASS

Since: Sep 2013

2435 North Central Expressway
Suite #1200
Richardson, TX 75080

Karen Proctor

Regional Director
(800) 707-6242 Toll Free
(855) 848-9910 Cell
Karen.proctor@texasclass.com

Texas TERM

Since: Jun 2015

221 West 6th Street
Suite #1900
Austin, TX 78701-3412

Edward Polansky

Key Account Manager
(866) 839-8376 Option 3
polanskye@pfm.com

TexPool

Since: May 1997

1001 Texas Avenue
Suite #1400
Houston, TX 77002

Jerry Landrum

Vice President
(972) 989-9747 Direct
(866) 839-7665 Participant Services
jlandrum@federatedinv.com

TexSTAR

Since: Oct 2002

1201 Elm Street
Suite #3500
Dallas, TX 75270

Mica Owens

Regional Account Representative
(800) 839-7827 Toll Free
(214) 953-4199 Direct
Mica.owens-weary@firstsw.com