

COLLIN COUNTY BROKER/DEALER LIST 2021

FHN Financial Securities Corp

Broker Since: Jan 2000

CRD# 46346 / SEC# 8-51393
920 Memorial City Way, 11th Floor
Houston, TX 77024

Zach Brewer - CRD# 4601565

(800) 489-8455

Zach.Brewer@fhnfinancial.com

IRMA: No

Hilltop Securities

Broker Since: Apr 1989

CRD# 6220 / SEC# 8-45123
700 Milam Street, Suite #500
Houston, TX 77002

Gilbert Ramon - CRD# 2135146

(713) 654-8606 Direct

gilbert.ramon@hilltopsecurities.com

IRMA: No

Multi-Bank Securities, Inc.

Broker Since: Feb 2012

CRD# 22098 / SEC# 8-39547
13062 Highway 290 West, Suite #111
Austin, TX 78737

Fernando Pulido - CRD# 5634138

(866) 355-0113 / (954) 552-3423 Cell

FPulido@mbssecurities.com

IRMA: Yes

Oppenheimer & Co., Inc.

Broker Since: Oct 2013

CRD# 249 / SEC# 8-4077
50 South 6th Street, Suite #1300
Minneapolis, MN 55402

Christopher Sullivan - CRD# 4731737

Paul Sullivan - CRD# 1047426

(612) 337-2719 Chris / (612) 337-2715 Paul

chris.sullivan@opco.com

IRMA: Yes

Raymond James & Associates, Inc.

Since: Dec 2019

CRD# 705 / SEC# 801-10418, 8-10999
880 Carillon Parkway
St. Petersburg, FL 33716

Bill Nowlin - CRD# 4474253

(214) 365-5562 Office / (214) 460-3842 Cell
5956 Sherry Lane, #1900, Dallas TX 75225

bill.nowlin@RaymondJames.com

IRMA: No

Stifel Nicolaus & Company, Inc.

Broker Since: May 1998

CRD# 793 / SEC# 8-1447
501 North Broadway
St. Louis, MO 63102

Bill Kodak - CRD# 1659326

(800) 645-5424

100 Motor Pkwy, 2nd Floor, Hauppauge NY 11788

kokotosb@stifel.com

IRMA: No

Vining Sparks

Broker Since: Mar 2010

CRD# 27502 / SEC# 8-43150
775 Ridge Lake Blvd. #200
Memphis TN 38120

Darlyne Haba - CRD# 5361931

(800) 786-1268

darlyne.haba@viningsparks.com

IRMA: No

COLLIN COUNTY BANK ACCOUNTS

American National Bank*

Since: Oct 2008

Wealth Management Group Trust Services
1010 W. Ralph Hall Pkwy. Suite #112
Rockwall, TX 75032

**American National Bank serves as depository and provides banking services for Collin County as per Agreement signed in 2014 (extended until Feb-2021)*

Vickie Gutierrez

AVP & Trust Officer
(214) 863-6548

VickieGutierrez@anbtx.com

East West Bank

Since: Dec 2017

9090 Katy Freeway, 3rd Floor
Houston, TX 77024

Jia Li

Deposit Specialist
(832) 973-8934

Jia.Li@eastwestbank.com

Farmer's Bank & Trust

Since: Mar 2017

1061 N. Coleman Street
Suite #100
Prosper, TX 75078

Ray Smith

Market President
(469) 296-1246 Office
(214) 232-8806 Cell

Ray.Smith@myfarmers.bank

Prosperity Bank

Since: Feb 2015

5851 Legacy Circle
Suite #1000
Plano, TX 75024

Shatarro Richardson

Treasury Services Sales Analyst
(972) 461-7162 Office
(870) 949-7667 Cell

shatarro.richardson@prosperitybankusa.com

SeaCap Investment Advisors

Since: Apr 2011

901 South Mopac Expressway South
Austin, TX 78746

Stephen Frahm

Investment Advisor Representative
(206) 654-0483 Office

sfracm@dadco.com

COLLIN COUNTY POOLED FUNDS INVESTMENT ACCOUNTS

LOGIC

Since: Nov 1994
1201 Elm Street
Suite #3500
Dallas, TX 75270

Mica Owens

Regional Account Representative
(800) 839-7827 Toll Free
(214) 953-4199 Direct
Mica.owens-weary@firstsw.com

Texas CLASS

Since: Sep 2013
2435 North Central Expressway
Suite #1200
Richardson, TX 75080

Karen Proctor

Regional Director
(800) 707-6242 Toll Free
(855) 848-9910 Cell
Karen.proctor@texasclass.com

Texas TERM

Since: Jun 2015
221 West 6th Street
Suite #1900
Austin, TX 78701-3412

Edward Polansky

Key Account Manager
(866) 839-8376 Option 3
polanskye@pfm.com

TexPool

Since: May 1997
1001 Texas Avenue
Suite #1400
Houston, TX 77002

Jerry Landrum

Vice President
(972) 989-9747 Direct
(866) 839-7665 Participant Services
jlandrum@federatedinv.com

TexSTAR

Since: Oct 2002
1201 Elm Street
Suite #3500
Dallas, TX 75270

Mica Owens

Regional Account Representative
(800) 839-7827 Toll Free
(214) 953-4199 Direct
Mica.owens-weary@firstsw.com

TX-FIT

Water Walker Investments

Since: Dec 2019
PO Box 780547
San Antonio, TX 78278

Ken Couch

Director
(210) 888-0452 Direct
ken@waterwalkerinvestments.com