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**Agency Name:** Collin County  
**Grant/App:** 4437501 **Start Date:** 9/1/2022 **End Date:** 8/31/2023

**Project Title:** Adult Mental Health Court  
**Status:** Pending AO Acceptance of Award

### Eligibility Information

**Your organization's Texas Payee/Taxpayer ID Number:**  
17560008736000

### Application Eligibility Certify:

Created on:1/9/2022 8:20:31 AM By:Linda Riggs

### Profile Information

**Applicant Agency Name:** Collin County  
**Project Title:** Adult Mental Health Court  
**Division or Unit to Administer the Project:** 219th District Court  
**Address Line 1:** 2100 Bloomdale Road  
**Address Line 2:**  
**City/State/Zip:** McKinney Texas 75071-8318  
**Start Date:** 9/1/2022  
**End Date:** 8/31/2023

**Regional Council of Governments(COG) within the Project's Impact Area:** North Central Texas Council of Governments  
**Headquarter County:** Collin  
**Counties within Project's Impact Area:** Collin

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**Title:** The Honorable  
**Salutation:** Judge  
**Position:** County Judge

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**Title:** Ms.  
**Salutation:** Ms.  
**Position:** GRANT WRITER

### **Grant Vendor Information**

**Organization Type:** County  
**Organization Option:** applying to provide services to all others  
**Applicant Agency's State Payee Identification Number (e.g., Federal Employer's Identification (FEI) Number or Vendor ID):** 17560008736000  
**Unique Entity Identifier (UEI):** S1ETLA9BNCC5

### **Narrative Information**

#### **Introduction**

The purpose of this funding is to support specialty court programs as defined in Chapter 121 and Chapter 129 of the Texas Government Code.

The funding announcement, located on the [eGrants Calendar](#) page, describes the organization types, activities, and costs that are eligible under the announcement. The PSO's [eGrants User Guide to Creating an Application](#) guides applicants through the process of creating and submitting an application in eGrants. Information and guidance related to the management and use of grant funds can be found in the PSO's Guide to Grants, located on the [PSO Resource for Applicants and Grantees webpage](#).

**Participant Fees**

Does this specialty court collect participant fees pursuant to Sec. 123.004 of the Texas Government Code?

Yes

No

If yes, what is the current dollar amount charged to participants?

0

In the last fiscal year, how many participants were charged a fee?

0

Of those participants charged, how many paid the fee?

0

**Certifications**

In addition to the requirements found in existing statute, regulation, and the funding announcement, this program requires applicant organizations to certify compliance with the following:

**Constitutional Compliance**

Applicant assures that it will not engage in any activity that violates Constitutional law including profiling based upon race.

**Information Systems**

Applicant assures that any new criminal justice information systems will comply with data sharing standards for the Global Justice XML Data Model and the National Information Exchange Model.

**Program Income**

Applicant agrees to comply with all federal and state rules and regulations for program income and agrees to report all program income that is generated as a result of the project's activities. Applicant agrees to report program income through a formal grant adjustment and to secure PSO approval prior to use of the program income. Applicant agrees to use program income for allowable costs and agrees to expend program income immediately after PSO's approval of a grant adjustment and prior to requesting reimbursement of funds.

Deduction Method - Program income shall be deducted from total allowable costs to determine the net allowable costs. Program income shall be used for current costs unless PSO authorizes otherwise.

Program income which the grantee did not anticipate at the time of the award shall be used to reduce the PSO award and grantee match rather than to increase the funds committed to the project.

Asset Seizures and Forfeitures - Program income from asset seizures and forfeitures is considered earned when the property has been adjudicated to the benefit of the plaintiff (e.g., law enforcement entity).

**Twelve-Step Programs**

Grant funds may not be used to support or directly fund programs such as the Twelve Step Program which courts have ruled are inherently religious. OOG grant funds cannot be used to support these programs, conduct meetings, or purchase related materials.

**Cybersecurity Training Requirement**

Local units of governments must comply with the Cybersecurity Training requirements described in Section 772.012 and Section 2054.5191 of the Texas Government Code. Local governments determined to not be in compliance with the cybersecurity requirements required by Section 2054.5191 of the Texas Government Code are ineligible for OOG grant funds until the second anniversary of the date the local government is determined

are ineligible for OSC grant funds until the second anniversary of the date the local government is determined ineligible. Government entities must annually certify their compliance with the training requirements using the Cybersecurity Training Certification for State and Local Governments. A copy of the Training Certification must be uploaded to your eGrants application. For more information or to access available training programs, visit the Texas Department of Information Resources Statewide Cybersecurity Awareness Training page.

### **Criminal History Reporting**

Entities receiving funds from PSO must be located in a county that has an average of 90% or above on both adult and juvenile dispositions entered into the computerized criminal history database maintained by the Texas Department of Public Safety (DPS) as directed in the *Texas Code of Criminal Procedure, Chapter 66*. The disposition completeness percentage is defined as the percentage of arrest charges a county reports to DPS for which a disposition has been subsequently reported and entered into the computerized criminal history system.

Counties applying for grant awards from the Office of the Governor must commit that the county will report at least 90% of convictions within five business days to the Criminal Justice Information System at the Department of Public Safety.

### **Uniform Crime Reporting (UCR)**

Eligible applicants operating a law enforcement agency must be current on reporting complete UCR data and the Texas specific reporting mandated by 411.042 TGC, to the Texas Department of Public Safety (DPS) for inclusion in the annual Crime in Texas (CIT) publication. To be considered eligible for funding, applicants must have submitted a full twelve months of accurate data to DPS for the most recent calendar year by the deadline(s) established by DPS. Due to the importance of timely reporting, applicants are required to submit complete and accurate UCR data, as well as the Texas-mandated reporting, on a no less than monthly basis and respond promptly to requests from DPS related to the data submitted.

### **Specialty Court Certifications**

If the applicant is a specialty court operated under Ch. 121 of the Texas Government Code, the following certifications apply:

1. The specialty court will develop and maintain written policies and procedures for the operation of the program.
2. The applicant will submit a copy of any project evaluations, evaluation plans, recidivism studies, or related reports that are completed during the grant period to PSO.

### **Adoption of Adult Drug Court Best Practice Standards**

Applicants operating an adult drug court certify that they are working towards full compliance with and adoption of Vol. I & II of the Adult Drug Court Best Practice Standards.

### **Adoption of Family Drug Court Best Practice Standards**

Applicants operating a family drug court certify that they are working towards full compliance with and adoption of the Family Treatment Court Best Practice Standards.

**Compliance with State and Federal Laws, Programs and Procedures**

Local units of government, including cities, counties and other general purpose political subdivisions, as appropriate, and institutions of higher education that operate a law enforcement agency, must comply with all aspects of the programs and procedures utilized by the U.S. Department of Homeland Security ("DHS") to: (1) notify DHS of all information requested by DHS related to illegal aliens in Agency's custody; and (2) detain such illegal aliens in accordance with requests by DHS. Additionally, counties and municipalities may NOT have in effect, purport to have in effect, or make themselves subject to or bound by, any law, rule, policy, or practice (written or unwritten) that would: (1) require or authorize the public disclosure of federal law enforcement information in order to conceal, harbor, or shield from detection fugitives from justice or aliens illegally in the United States; or (2) impede federal officers from exercising authority under 8 U.S.C. § 1226(a), § 1226(c), § 1231(a), § 1357(a), § 1366(1), or § 1366(3). Lastly, eligible applicants must comply with all provisions, policies, and penalties found in Chapter 752, Subchapter C of the Texas Government Code.

Each local unit of government, and institution of higher education that operates a law enforcement agency, must download, complete and then upload into eGrants the [CEO/Law Enforcement Certifications and Assurances Form](#) certifying compliance with federal and state immigration enforcement requirements. This Form is required for each application submitted to PSO and is active until August 31, 2023 or the end of the grant period, whichever is later.

**Civil Rights Liaison**

A civil rights liaison who will serve as the grantee's civil rights point of contact and who will be responsible for ensuring that the grantee meets all applicable civil rights requirements must be designated. The designee will act as the grantee's liaison in civil rights matters with PSO and with the federal Office of Justice Programs.

Enter the Name of the Civil Rights Liaison:

Cynthia Jacobson

Enter the Address for the Civil Rights Liaison:

2300 Bloomdale Rd, Mckinney, TX 75071

Enter the Phone Number for the Civil Rights Liaison [(999) 999-9999 x9999]:

(972)-548-4796

**Overall Certification**

Each applicant agency must certify to the specific requirements detailed above as well as to comply with all requirements within the PSO Funding Announcement, the *Guide to Grants*, the *Grantee Conditions and Responsibilities*, any authorizing or applicable state and federal statutes and regulations to be eligible for this program.

**I certify to all of the application content & requirements.**

**Project Abstract :**

Collin County is the sixth largest county in Texas by population (of million plus) and lies just northeast of the Dallas-Fort Worth Metroplex. The county has 13 District Courts and 7 County Courts at Law. The 219th Judicial District Court in collaboration with the County Court at Law #3, will preside over cases for the Adult Mental Health Court (AMHC) Program, which will be a pre-trial diversion court. The mission of the AMHC Program is to reduce recidivism in our community by emphasizing treatment, stability, and accountability for the offenders with a qualifying mental health diagnosis and those with an Intellectual Developmental Disability (formally known as mentally retarded). The goal of the AMHC Program is to divert offenders with mental health issues out of the traditional criminal justice process and into appropriate rehabilitation alternatives. The program will utilize the multisystem approach to help participants with mental health needs. The Treatment Team incorporates robust and evidence-based mental health screening, risk assessment, and treatment interventions into an intensive community supervision model. The program will use a non-adversarial approach involving prosecutors, community supervision, treatment providers, and defense attorneys to promote public safety and to protect the due process rights of the participants. The program will serve adult participants allowing them to make significant changes in their lives so that they can avoid further involvement with the criminal justice system. The AMHC Program is a team-centered, treatment focused, pre-trial diversion program that closely adheres to Chapter 125 of the Texas Government Code on Mental Health Court Programs. The program averages twelve

months in length with intensive follow-up and is open to 40 adult participants, ages 17 and over with a qualifying mental health diagnosis or those with an Intellectual Developmental Disability. Eligibility criteria for the AMHC will be the following: 1.) Participant must have a mental health diagnosis as defined by the Diagnostic and Statistical Manual or Mental Disorders (DSM 5); Diagnosed mental disorder includes these conditions but are not limited to: \*Schizophrenia, \*Post traumatic stress disorder, \*Bipolar, Major Depression, \*Schizoaffective, \*Pervasive developmental disorder, \*IQ of 70 or greater and others. 2.) Participant must be willing to participate in the program. AMHC is a voluntary program; 3.) Charges must be filed with the courts. Only pending charges will be considered for entry into the program; and 4.) Participants with substance use issues must be willing to acknowledge the problem and must not have a prevailing substance use issue which would interfere with mental health treatment. Reasons for exclusion from program include severe mental or emotional problems not stable on medication at the time of admission, requiring inpatient mental health care, and participants whom have a history of manufacturing and/or distribution of illegal and synthetic drugs (drug dealing).

### **Problem Statement :**

Mental illness in the justice system has become an increasingly obvious problem. In recent years, the large number of individuals with mental illnesses involved in the criminal justice system has become a pressing policy issue within both the criminal justice and mental health systems. The prevalence of serious mental illnesses among all people entering jails, for example, is estimated to be 16.9 percent (14.5 percent of men and 31 percent of women). People with mental illnesses often cycle repeatedly through courtrooms, jails, and prisons that are ill-equipped to address their needs and, in particular, to provide adequate treatment (Almquist and Dodd, 2011). In response to the increasing population of defendants with mental illness, the popularity of mental health courts has grown in the last decade (Steadmen and Redlish). Those who become involved in the justice system are more likely to have been exposed to not just one or two traumatic stressors, but multiple types of traumatic victimization (Abram et al, 2013). These are not just incidents, but types of adverse stressors (e.g., physical abuse, sexual abuse, domestic violence, community violence, and life-threatening neglect). This experience has been described as "poly-victimization," which is defined as prolonged or multiple exposures to traumatic events (Finkelhor, Ormond, & Turner, 2007). The brain and nervous system are altered by poly-victimization (Teicher & Samson, 2013) in ways that increase stress reactivity, anger, and impulsivity while reducing the ability to self-regulate. Those who have been poly-victimized are at high risk for involvement in criminal behavior and contact with law enforcement and the justice system (Ford et al., 2010). A trauma-informed justice system contributes to restoring order and safety by enabling the justice staff to effectively participate in a participant's recovery from traumatic experiences. This benefit includes potentially substantial long-term economic and social cost savings, as well as the immediate satisfaction of being able to effectively contribute to the public's safety and welfare. Trauma-informed justice systems help the participants and families better understand trauma and its impact on behavior and health. This understanding can help restore relationships by providing a new way for them to understand the trauma they have experienced. A third benefit is the strengthening of the safety net for traumatized participants by providing a basis for a partnership between systems that serve, including the education, mental health, and justice systems. Aligning their often-different missions around the shared goal of helping can actually shrink, rather than widen, the net in which they are caught if they engage in problematic or delinquent behavior. A shared understanding of psychological trauma and PTSD can provide an alternative explanation for what may otherwise be diagnosed as a psychiatric disorder or sociopathic character flaws (Ford, Chapman et al., 2012; Ford et al., 2013). Helping them recover and become successful and productive citizens are points of convergence for all serving the systems. This can be a basis for developing administrative structures and processes that bring justice administrators and staff, and court professionals together as a team on behalf of traumatized participants. Individuals with a history of mental illness often find challenges acquiring mental health services needed within their respective communities. Although the need for mental health services and the negative stigmas previously associated with seeking and obtaining care has been targeted for improvement in the public domain, this population continues to be underserved as it relates to community-based treatment. Managing day-to-day dynamics with untreated mental illness drastically affects, influences and impacts the ability to successfully identify and obtain services. These families require needed assistance in navigating what are often complex service systems. This support proves even more critical for families with limited financial resources, leaving law enforcement and the traditional criminal justice system to provide temporary interventions for unlawful acts directly driven by illness. Presently, Collin County has two mental health specialized caseloads for individuals on community supervision, Collin County currently does not have a mental health specialty court or any mental health diversion program. That is what and why we are requesting assistance.

### **Supporting Data :**

Along with the county population, the number of referrals for felonies and misdemeanors to Collin County Community Supervision and Corrections Department (CCSCD) has increased from FY2019 (n=3,959) FY2020 (n=4,092), and FY2021 (n= 4,268). With this increase, the department has and will continue to ensure community protection for county citizens but attempts to do so in the least restrictive means available for the participant and families. A critical component in making informed decisions in this balance requires the agency to look beyond just the criminality of offense and use evidence-based risk and needs assessment findings and targeted-rehabilitation strategies to promote both community-protection and good outcomes. Without a community-based, mental health focused strategy to work with these offenders whose behavior can be directly correlated to mental illness, many are left to the counties most cost-prohibitive remedy,



which is post-adjudication services. Although the program provides clinical services for offenders, the department has seen an increase of those whose mental health needs exceeded what could be adequately treated at the time of court disposition. The importance of mental health and access to services is profound as mental health contributes to the crime being committed and the increasing numbers of adults. The need for and lack of comprehensive, coordinated mental health services throughout the life cycle is especially underscored by the following information regarding the occurrence and prevalence of mental health needs:

- 1 in 5 U.S. adults experience mental illness. (21% of U.S. adults/52.9 million people) (NIMH, 2020).
- About 1 in 4 American adult suffer from a diagnosable mental disorder in a given year and one in 10 will suffer from a depressive illness, such as major depression or bipolar disorder (John Hopkins, 2020).
- 41% of Americans dealt with an untreated mental illness (Mental Health First Aid, 2020).
- 10.7% of the world suffers from some form of mental illness (Our World in Data, 2020).
- 1 in 20 U.S adults experience serious mental illness (56% of U.S. adults/14.2% million people) (NAMI, 2020).
- 18% of U.S. adults with mental illness also have a substance use disorder (NAMI, 2020).
- 37% of adults incarcerated in state and federal prisons have a diagnosed mental condition (NAMI, 2020).
- 6.7% of U.S. adults experience a co-occurring substance use disorder and mental illness (17 million people) (NAMI, 2020)
- 21% of people experiencing homelessness also have a serious mental illness (NAMI, 2020).
- About 2 million times each year, people with serious mental illness are booked into jails (NAMI, 2020).
- About 2 in 5 people who are incarcerated have a history of mental illness (37% in state and federal prisons and 44% held in local jails) (NAMI, 2020).
- 66% of women in prison reported having a history of mental illness, almost twice the percentage of men in prison (NAMI, 2020).
- Nearly 1 in 4 people shot and killed by police between 2015-2020 had a mental health condition (NAMI, 2020).
- Suicide is the leading cause of death for people held in local jails (NAMI, 2020).
- An estimated 4,000 people with serious mental illness are held in solitary confinement inside U.S. prisons (NAMI, 2020).
- 15.3% of U.S. Veterans experienced a mental illness in 2019 (31.3 million people) (NAMI, 2020).
- 8.4% of Active Component service members in the U.S. military experienced a mental health or substance use condition in 2019.
- 30% of military personnel deployed to Iraq or Afghanistan have mental health conditions (U.S. Dept. of Veteran Affairs, 2020).
- Over 20% of veterans return home with Traumatic Brain Injury and PTSD (U.S. Dept. of Veteran Affairs, 2020).
- 63% with a history of mental illness do not receive mental health treatment while incarcerated in state and federal prisons (NAMI, 2020).
- 45% with a history of mental illness receive mental health treatment while held in local jails (NAMI, 2020).
- 40.1% of adults in Texas reported symptoms of anxiety and/or depression in October 2020 compared to an average of 37.7% of adults in the U.S. (Stonegate Center, 2020).

The Center for Disease Control and Prevention (2018) analyzed the long-term effect of adolescent traumatic experiences on adult health, mental health, healthcare costs and life expectancy. Adverse Childhood Experience (ACE) such as emotional, physical, or sexual abuse, emotional or physical neglect, witnessing abuse, household substance abuse or mental illness, parental separation or divorce, incarceration of a family member strongly correlate with an adult's depression, alcohol abuse, illicit drug use, risk intimate partner violence, suicide attempts. Furthermore, the American Psychiatric Association asserts that 15% of depressed individuals will commit suicide and by 2022, depression will be the second largest killer following heart disease ("The Numbers Count: Mental Disorders in America," 2009). In fact, mental disorders represent four of the top 10 leading causes of disability (Centers for Disease Control, 2015). The following are Collin County numbers that have been assisted but that would have benefited with the assistance of this program: FY: 2020: Misdemeanor: 12 and Felonies: 68, FY2021: Misdemeanor: 21 and Felonies: 68, a total of 169. At the present time Collin County has 15 Adults on a waiting list that need assistance.

### **Project Approach & Activities:**

The overall goal of the Adult Mental Health Court Program will be the following but not limited to: 1) Identify the criminogenic risks and needs of the participant 2) Treat the underlying cause of criminal behavior; 3) Identify barriers to treatment; 4) Keep the community safe and decrease recidivism; 5) Hold the participant accountable and 6) Find appropriate rehabilitative strategies that address individualized need/mental illness, including medication maintenance. The AMHC Program will utilize the treatment team approach to help participants with mental health needs. This multidisciplinary team will effectively address the many unique and significant needs of these participants. The team will receive on-going training in order to assist the participants achieve treatment goals. The AMHC team will consist of the following but not limited to: • Judge • Program Coordinator • Supervision Officer/Case Manager • Treatment Provider • Defense Attorney • Counselor/District Attorney AMHC will combine mental health treatment with frequent court appearances, case management, counseling, and community based support. Each member on the team plays a vital role in helping the participant successfully complete the program as well as providing safety for the community. Community resources will be used to provide supportive services for the participant as well as community based organizations to ensure they are receiving targeted interventions based on their Integrated Treatment Plans (ITPs). AMHC will be an intensive supervised program, which will focus on addressing the participant's behavior and mental health problems within the home that impacts the participant's ability to be successful while in the court. The program will not only address how mental illness affects the participants but also how mental illness impacts everyone related to the person. AMHC uses an integrated treatment approach to address participant's needs including but not limited to mental health assessments, psychological evaluations, risk assessments, substance abuse assessments, and other behavior assessment tools as directed by the treatment provider. Participants first undergo a thorough screening to determine appropriate placement into the program. Once participants are accepted for admission into the program, they attend the AMHC Specialty court docket (with defense attorney present). The participant will then meet with supervision officer/case manager and the Texas Risk Assessment System (TRAS) assessment is conducted. A mental health assessment is also conducted and appropriate referrals for treatment is made. The AMHC program is an average of twelve (12) months with an extensive follow up. There are (3) levels/phases to the AMHC Program. Phase 1: The purpose of Phase 1 is to provide the most rigorous interventions into the participant's life. Each participant's needs are different and the Integrated Treatment Plan (ITP) will reflect these individual needs. The

primary focus of Phase 1 will be to encourage and enforce compliance with the participant's mental health treatment to include the initial mental health screening as well as individual and/or group counseling. The overall goal of Phase 1 is the participant will demonstrate both a desire and an ability to participate in treatment, counseling, maintain medication compliance along with drug and alcohol abstinence. Phase 2: The purpose of Phase 2 is to continue the treatment process. The primary focus of Phase 2 will be progression to stability from Phase 1, the participant is to continue and make gains/progress in mental health treatment and counseling as indicated by treatment provider collaterals and progress notes. We should see a gradual stabilization of participant's family, living, work and financial situation during this phase (including an increased support system). The overall goal being in Phase 2 the participant will demonstrate an increased ability to remain in treatment, continue with all prescribed medication and maintain stability within the family and community. Phase 3: The purpose of Phase 3 is for the participant to reach sustained stability and to successfully complete any required treatment programs. The goal is to see a reduction in criminogenic needs. Participant will continue medication maintenance and remain compliant with all program requirements. This is the last phase before the participant reintegrates into society without the assistance of the Adult Mental Health Court. The focus is a tapering off of interventions by the AMHC and for the participant to utilize the tools learned during the program to reintegrate into the community without supervision. Please refer to upload file for program phase detailed. The program will also have the following for the participants but limited to: Expectations, Incentives and sanctions. Please refer for explanation of what is expected from each participant in detail in upload summary.

**Capacity & Capabilities:**

All of the personnel on the AMHC team not only have professional expertise in their field but also have (or will have) significant experience and understanding of mental health and developmental disabilities and how this will manifest within the justice system. AMHC Treatment Team: Judge: the Judge will conduct status hearings as ordered. The Judge will encourage the participant to do well and stay on target. The Judge will hold the participant accountable if they fail to comply with the program and/or requirements. The Judge will speak with the participant and family (if necessary) to see how they are doing in treatment, at home, work, school, and in the community. They will also ask what the team can do for them and their family to keep them on track. • Honorable Jennifer Edgeworth, Judge 219th District Court (Felony cases) • Judge Lance Baxter, (Misdemeanor cases) Program Coordinator: The Coordinator is responsible for coordinating all of the court activities and serves as a member of the AMHC team. The Coordinator also works directly with the Judge to ensure that the activities of the AMHC are coordinated which include preparing dockets, overseeing data reporting, data collection, coordinate service delivery, ensure communication among providers internally and externally, ensures follow up of any recommended assessments or services, acts as an advocate for client with medical professionals, aid in the development and coordination of treatment plans; and ensures communication with family and agencies. Schedules and notifies all staff members of review hearings, meetings and screenings. Case Manager: Case Manager (Specialized in Mental Health Caseload) will meet with each participant to create an Integrated Treatment Plan that will work for them so that they will remain focused on completing their goals. The case manager will be tracking each participants progress within the program and assist them in order for them to complete the program successfully. The duties will also include providing intensive supervision and interventions to ensure successful completion of all program conditions. The case manager will also coordinate drug testing on participants. The case manager will attend team meetings, weekly staffing and weekly status court hearings for all participants. Treatment Provider: The Treatment Provider will establish and comprehensive, community-based treatment plan for the participant and for the family pursuant to their mental health evaluation(s)/assessment(s). The treatment provider will also have a designee assigned to the AMHC team. They will provide group, individual and family counseling sessions, and medication management services (if necessary). Program participants will learn various copings skills on how to manage their emotions as well as ways to become free of drugs. The treatment provider will review the progress towards goals as well as provide weekly reports to the AMHC team regarding progress towards treatment goals, attendance and participation, and provide insight to the team on how best to provide program participants and families support for treatment compliance. • LifePath Systems Defense Attorneys: The defense attorney will advocate for the participant and assist with legal matters for the participant that relate to the AMHC program. They will advocate for the participant in court and during AMHC team staffing. The attorney will help to make sure that the participants stay on track to reach their treatment and ITP goals. Counselor/District Attorneys: The Assistant District Attorneys serve as members of the AMHC team and assist in assessing cases that appear appropriate for the AMHC. They will have as non – adversarial approach when serving as an AMHC Member. Duties of the Counselor will be to assists in reviewing of the AMHC applications and determining which applicants are eligible to participate in the specialty court. Upon acceptance the ADA will be responsible for management of AMHC docket, preparation of necessary paperwork. Prepare for and appear at AMHC dockets. Maintain records and prepare for discharge of the participant. Performs all ancillary tasks. Collaborative Partnerships: The program has identified and developed relationships with local resources and organizations that provide ongoing support for the participants and their families. The AMHC Program has a strong partnership with the following but not limited to: • LifePath Services • Substance Abuse Treatment Providers • Texas Department of Family and Protective Services • Local Crisis Intervention Teams Wraparound Services has been recognized as a model for collaboration, integrating mental health, and education systems to provide services to participants (Resource Center Partnership, Models for Change). A model that works and serves within the county. The integrated, multi-service approach to meeting the needs of the participants will include a focus on the individual's strength and culture, as well as those of the community. The treatment plans will be tailored to address the unique needs of each participant. The AMHC combines mental health treatment with frequent court appearances, case management, counseling, and community based support. The team will consist of judges, prosecutors, defense attorneys, clinical team members, program coordinator, case supervisor, case manager, and treatment providers. Each member on the team plays a vital role in helping the participant successfully complete the specialty court program as well as providing protection for the community. Community resources will be used to provide supportive services, while decreasing the need for



hospitalization and incarceration. Successful completion of the program results in case dismissal and agreed expunction.

### **Performance Management :**

Goal: Deliver services to participants with cases in the criminal justice system Objectives: 1. Ensure minimum of 90% of participants seeking assistance will be served. 2. Demonstrate 10% increase yearly in participant enrollment. 3. Graduate 30 from program. Measures: 1. Provide assistance/services to 40 participants (over the course of the grant). 2. Provide case management and advocacy for 40 participants (over the course of the grant). 3. Provide advocacy and assistance for adults with a mental illness. 4. Provide treatment referrals for 40 individuals (whether ultimately accepted into the AMHC Program or not) (over the course of the grant and aftercare). 5. Assist 40 participants with developing plans (over the course of the grant). Client outcome measurements will be used to establish and evaluate the program's progress in achieving service goals. The Case Manager along with the Case Supervisor will analyze the outcomes and an action plan will be developed and implemented as needed to ensure that objectives are being met. Performance measurement/data will be collected, reported and submitted in a timely matter. Data Management - Detail the project's plan and methods to collect, track, maintain and report data needed to determine if identified measures of success are met. The significance and impact of data management and key aspects of the court to evidence-based practices is imperative to making a successful specialty court. To monitor individualized goals, measures, and outcomes to adhere to grant compliance, the case manager along with the case supervisor will utilize the Software Application to enter all screenings and accounts of each participant in the program. The software can collect a wide range of data that is necessary which will monitor the program on an ongoing basis. The software applications will provide a platform to enter all screenings and account for recidivism rates to track program success, number of participant screened, identified, and served in the program. The Collin County Community Supervision and Corrections Department currently utilizes Tyler Software to collect, report, and manage program data. Tyler Software will be another tool that provides enhanced productivity and data sharing capabilities; strong security and data integrity. In addition to data maintained in Tyler Software, they will maintain an Excel spreadsheet with the PID of participant obtaining services, offense level committed, dates of services provided, school attendance, employment, and 1-year post-project recidivism results.

### **Target Group :**

The AMHC Program accepts referrals for participants, 17 and over with a mental health diagnosis of schizophrenia, schizoaffective, major depression, bipolar, or PTSD, and who reside in Collin County. The AMHC Program will serve 40 participants with mental health issues over the next twelve months. As mental health participants, all are considered high risk and high need. Requested funding will be able to provide services for these participants who would otherwise be unable to participate in the program or receive the assistance they much need. The program will use a multidisciplinary approach to service delivery, working with all community-based stakeholders serving the participant throughout the community. Some of the agencies that Collin County will be utilize will include but not limited to: • LifePath Systems to provide mental health services. • Substance Abuse Treatment Providers. • Parents/family: The family will play a key role in the success of the program.

### **Evidence-Based Practices:**

The AMHC Program recognizes the importance in incorporating current theory, best practice, and evidence-based service delivery. As such, the program staff maintains an updated knowledge base through research and training, informally reviews the program on a continual basis, and formally reviews the program annually, changes are made as needed. The following are a few of the therapeutic evidence-based service models which may be used: • Group therapy • Substance abuse • Support System • Family Treatment • Treatment Planning (ongoing treatment) • Alternative therapies (art therapy, music therapy, community service projects, etc.) • Family Treatment Court Best Practice Standards (NADCP)

## **Project Activities Information**

### **Introduction**

This section contains questions about your project. It is very important for applicants to review their funding announcement for guidance on how to fill out this section. Unless otherwise specified, answers should be about the EXPECTED activities to occur during the project period.

### **Selected Project Activities:**

<b>ACTIVITY</b>	<b>PERCENTAGE:</b>	<b>DESCRIPTION</b>
Specialty	100.00	The Adult Mental Health Court (AMHC) Program is to divert offenders with mental health issues out of the traditional criminal

Court - Mental Health	justice process and into appropriate rehabilitation alternatives. The program will utilize the multisystem approach to help participants with mental health needs. The Treatment Team incorporates robust and evidence-based mental health screening, risk assessment, and treatment interventions into an intensive community supervision model. The program will use a non-adversarial approach involving prosecutors, community supervision, treatment providers, and defense attorneys to promote public safety and to protect the due process rights of the participants. The program will serve adult participants allowing them to make significant changes in their lives so that they can avoid further involvement with the criminal justice system.
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**CJD Purpose Areas**

PERCENT DEDICATED	PURPOSE AREA	PURPOSE AREA DESCRIPTION
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**Measures Information**

## Objective Output Measures

OUTPUT MEASURE	TARGET LEVEL
Number of carry-over individuals participating.	0
Number of individuals NEWLY participating.	40

## Objective Outcome Measures

OUTCOME MEASURE	TARGET LEVEL
Number of individuals who will successfully complete the program.	20

## Custom Output Measures

CUSTOM OUTPUT MEASURE	TARGET LEVEL
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## Custom Outcome Measures

CUSTOM OUTCOME MEASURE	TARGET LEVEL
------------------------	--------------

**Resolution from Governing Body**

Applications from nonprofit corporations, local units of governments, and other political subdivisions must include a [resolution](#) that contains the following:

1. Authorization by your governing body for the submission of the application to the Public Safety Office (PSO) that clearly identifies the name of the project for which funding is requested;
2. A commitment to provide all applicable matching funds;
3. A designation of the name and/or title of an authorized official who is given the authority to apply for, accept, reject, alter, or terminate a grant (Note: If a name is provided, you must update the PSO should the official change during the grant period.); and
4. A written assurance that, in the event of loss or misuse of grant funds, the governing body will return all funds to PSO.

Upon approval from your agency's governing body, upload the [approved](#) resolution to eGrants by clicking on the **Upload Files** sub-tab located in the **Summary** tab.

**Contract Compliance**

Will PSO grant funds be used to support any contracts for professional services?

Select the appropriate response:

Yes

No

For applicant agencies that selected **Yes** above, describe how you will monitor the activities of the sub-contractor(s) for compliance with the contract provisions (including equipment purchases), deliverables, and all applicable statutes, rules, regulations, and guidelines governing this project.

Enter a description for monitoring contract compliance:

All County contractors submit monthly reports and/or invoices, including programmatic reports, which are reconciled and audited to ensure contractor is fulfilling statement of work and expenses match receipts. SOAR Program contractors will be required to submit a detailed invoice including date and type of service for participants.

**Lobbying**

For applicant agencies requesting grant funds in excess of \$100,000, have any federally appropriated funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress, or an employee of a member of Congress in connection with the awarding of any federal contract, the making of any federal grant, the making of any federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant loan, or cooperative agreement?

Select the appropriate response:

- Yes
- No
- N/A

For applicant agencies that selected either **No** or **N/A** above, have any non-federal funds been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a member of Congress, an officer or employee of Congress in connection with this federal contract, loan, or cooperative agreement?

Select the appropriate response:

- Yes
- No
- N/A

### **Fiscal Year**

Provide the begin and end date for the applicant agency's fiscal year (e.g., 09/01/20xx to 08/31/20xx).

Enter the Begin Date [mm/dd/yyyy]:

10/1/2022

Enter the End Date [mm/dd/yyyy]:

9/30/2023

### **Sources of Financial Support**

Each applicant must provide the amount of grant funds expended during the most recently completed fiscal year for the following sources:

Enter the amount (in Whole Dollars \$) of Federal Grant Funds expended:

107698129

Enter the amount (in Whole Dollars \$) of State Grant Funds expended:

2160317

### **Single Audit**

Applicants who expend less than \$750,000 in federal grant funding or less than \$750,000 in state grant funding are exempt from the Single Audit Act and cannot charge audit costs to a PSO grant. However, PSO may require a limited scope audit as defined in 2 CFR Part 200, Subpart F - Audit Requirements.

Has the applicant agency expended federal grant funding of \$750,000 or more, or state grant funding of \$750,000 or more during the most recently completed fiscal year?

Select the appropriate response:

- Yes
- No

Applicant agencies that selected **Yes** above, provide the date of your organization's last annual single audit, performed by an independent auditor in accordance with the State of Texas Single Audit Circular; or CFR Part 200, Subpart F - Audit Requirements.

Enter the date of your last annual single audit:

9/30/2020

### **Debarment**

Each applicant agency will certify that it and its principals (as defined in 2 CFR Part 180.995):

- Are not presently debarred, suspended, proposed for debarment, declared ineligible, sentenced to a denial of Federal benefits by a State or Federal Court, or voluntarily excluded from participation in this transaction by any federal department or agency;
- Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state, or local) transaction or contract under a public transaction; violation of federal or state antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property; or
- Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (federal, state, or local) with commission of any of the offenses enumerated in the above bullet; and have not within a three-year period preceding this application had one or more public transactions (federal, state, or local) terminated for cause or default.

Select the appropriate response:

I Certify

Unable to Certify

If you selected **Unable to Certify** above, please provide an explanation as to why the applicant agency cannot certify the statements.

### **Fiscal Capability Information**

#### **Section 1: Organizational Information**

\*\*\* FOR PROFIT CORPORATIONS ONLY \*\*\*

Enter the following values in order to submit the application

Enter the Year in which the Corporation was Founded: 0

Enter the Date that the IRS Letter Granted 501(c)(3) Tax Exemption Status: 01/01/1900

Enter the Employer Identification Number Assigned by the IRS: 0

Enter the Charter Number assigned by the Texas Secretary of State: 0

Enter the Year in which the Corporation was Founded:

Enter the Date that the IRS Letter Granted 501(c)(3) Tax Exemption Status:

Enter the Employer Identification Number Assigned by the IRS:

Enter the Charter Number assigned by the Texas Secretary of State:

#### **Section 2: Accounting System**



The grantee organization must incorporate an accounting system that will track direct and indirect costs for the organization (general ledger) as well as direct and indirect costs by project (project ledger). The grantee must establish a time and effort system to track personnel costs by project. This should be reported on an hourly basis, or in increments of an hour.

Is there a list of your organization's accounts identified by a specific number (i.e., a general ledger of accounts)?

Select the appropriate response:

- Yes
- No

Does the accounting system include a project ledger to record expenditures for each Program by required budget cost categories?

Select the appropriate response:

- Yes
- No

Is there a timekeeping system that allows for grant personnel to identify activity and requires signatures by the employee and his or her supervisor?

Select the appropriate response:

- Yes
- No

If you answered 'No' to any question above in the Accounting System section, in the space provided below explain what action will be taken to ensure accountability.

Enter your explanation:

### **Section 3: Financial Capability**

Grant agencies should prepare annual financial statements. At a minimum, current internal balance sheet and income statements are required. A balance sheet is a statement of financial position for a grant agency disclosing assets, liabilities, and retained earnings at a given point in time. An income statement is a summary of revenue and expenses for a grant agency during a fiscal year.

Has the grant agency undergone an independent audit?

Select the appropriate response:

- Yes
- No

Does the organization prepare financial statements at least annually?

Select the appropriate response:

- Yes
- No

According to the organization's most recent Audit or Balance Sheet, are the current total assets greater than the liabilities?

Select the appropriate response:



CATEGORY	SUB CATEGORY	DESCRIPTION	OOG	CASH MATCH	IN-KIND MATCH	GPI	TOTAL	UNIT/%
Personnel	Coordinator	Program Coordinator Duties: Intensive program management skills. Monitors the progress, efficacy, and involvement of all stakeholders including the clients served. Conducts special projects for the Court and Specialty court team. Performs all other related duties involved in the operation of the specialty court as assigned or required to this grant. The program coordinator will oversee data reporting, data collection, coordinate service delivery, ensure communication among providers internally and externally; ensure follow up of any recommended assessments or services; act as an advocate for client with medical professionals, aid in the development and coordination of treatment plans; and ensure communication with family and agencies... Bachelor's degree required and a minimum of three (3) years' experience. Valid Texas Driver's License. Base Pay: \$69,857.00 with fringe benefits at \$29,208.00 for 12 months at \$99,065.00. The Adult Mental Health Program Coordinator will be at 100%. Position: Vacant at this time.	\$99,065.00	\$0.00	\$0.00	\$0.00	\$99,065.00	100
Personnel	Case Manager	Duties: (Specialized-Mental Health Caseload) The Supervision Officer/case manager will provide supervision for the specialty court diversion caseload. The supervision officer/case manager will conduct risk assessments and meet with each participant to create an individual case plan that will work for client so that they will remain focused on completing goals of the program. The supervision officer/case manager will be tracking each participants progress within the program and assist the clients when necessary in order for them to complete the program successfully. The duties of the supervision officer will also include providing intensive supervision and interventions to ensure successful completion of all program conditions. The officer shall conduct random urinalysis testing. The supervision officer/case manager also attends team meetings, weekly staffing and weekly status court hearings. Base pay \$58,016.00 with fringe benefits \$27,132.00 for 12 months Total: \$85,146.00. The supervision officer will be at 100%. Vacant at this time.	\$85,146.00	\$0.00	\$0.00	\$0.00	\$85,146.00	100
Personnel	Specialist	Duties: Assists in review of AMH specialty court applications and determining which applicants are eligible to participate in the specialty court. Upon acceptance the ADA will be responsible for management of AMHC docket, preparation of necessary paperwork. Prepare for and appear at AMHC dockets. Maintain records and prepare for discharge of the Defendant. Performs all	\$127,876.00	\$0.00	\$0.00	\$0.00	\$127,876.00	100

		ancillary tasks. Work is performed under the direction of the Chief Felony Prosecutor and the 1st Assistant District Attorney. Work requires specialized knowledge in a professional or technical field, normally acquired through years of college resulting in a Bachelor's degree. Must be licensed by the State of Texas to practice law. Must have prior experience as a Misdemeanor Prosecutor and/or Chief Misdemeanor Prosecutor, preferably in Collin County. Base pay: Full-Time: \$94,365.00 with fringe/taxes \$33,514.00. Total: \$127,876.00.							
Contractual and Professional Services	Substance Abuse-Related Case Management, Counseling, Outpatient, and/or Treatment Services	The treatment services are individualized to meet the needs of each participant and his/her family. Assessment process but not limited to: substance abuse, mental health, trauma, employment/financial needs, housing, school progress, behavior/emotional management. Counseling and guidance is priority. . Therapist are licensed by State. . Dual Diagnosis: 35 participants at \$315.00 per week for 6 weeks at a cost of \$66,150.00 (IOP) and 3 participants at \$7,050.00 at a cost of \$21,150.00 (PHP) at a flat rate for the grant year.	\$87,300.00	\$0.00	\$0.00	\$0.00	\$87,300.00	0	
Travel and Training	In-State Registration Fees, Training, and/or Travel	The conference is sponsored by the Correctional Management Institute of Texas Association of Specialty Courts. The conference is a four day event to provide education for working with individuals with mental illness. Target population: Leadership, Judicial, Law Enforcement, Support Staff, Medical Staff, and Mental Health Staff. Topics might include the following but not limited to: Mental Health First Aid Certification, CALM-Counseling or Access to Lethal Means, Managing Mentally Ill in Criminal Justice System, and Adolescent Brain (just to name a few). The conference will be held in Oct./Nov. 2022 in Galveston, Texas. Conference expenses are as follows: Registration is \$210 per person. Hotel is \$103 per night/4 nights. Conference rate utilized. Per diem will be paid at the county rate for meals in Galveston, Texas. The fees include registration, hotel, per diem for meals and flight cost. Two employees (Supervision Officer/Case Manager and Program Coordinator) at \$1,319.00 x 2 = \$2,638.00.. The breakdown for two staff members attending training: Registration: \$210.00 x 2 = \$420.00, Hotel: \$103 x 4 nights x 2 staff = \$824.00, meals 4 days at per diem at \$43 per day x 2 = \$344.00, Flight: \$525 x 2 = \$1050.00. Total: \$2,638.00	\$2,638.00	\$0.00	\$0.00	\$0.00	\$2,638.00	0	
Supplies and Direct Operating Expenses	Network and Server Software and/or Licenses	MIFI is a mobile hot spot to allow internet access to both JPO and Case Manager. MIFIs are necessary for the JPO and case manager to work on their assigned computers while working in the field. Internet access is necessary to review work emails, and other websites which may be necessary to complete their job while not	\$888.00	\$0.00	\$0.00	\$0.00	\$888.00	0	

	(\$5,000 or less per unit)	in the office. MIFI Device/Service for 2 laptops: \$444.00 x 2 = \$888.00							
Supplies and Direct Operating Expenses	Network and Server Software and/or Licenses (\$5,000 or less per unit)	Software will include Absolute Control Professional software License, Microsoft word, excel, outlook and other computer programs necessary for staff to utilize for assisting participants. Software for 2 laptops: \$633.60 x 2 = \$1,267.20.	\$1,267.20	\$0.00	\$0.00	\$0.00	\$1,267.20	0	
Supplies and Direct Operating Expenses	Laptop System and Accessories (\$5,000 or less per unit)	The two laptops will be used by the Supervision Officer/Case Manager and Program Coordinator and they will be utilized to assist while working in the field or in the office. The laptops are a bundle cost and include the following accessories but not limited to: dell wired multi-media keyboard, dell wired mouse, dell urban backpack, dell 24" monitors, dell dock adapter at \$2,433.00 x 2 staff = Total: \$4,866.00	\$4,866.00	\$0.00	\$0.00	\$0.00	\$4,866.00	0	

**Source of Match Information**

**Detail Source of Match/GPI:**

DESCRIPTION	MATCH TYPE	AMOUNT
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**Summary Source of Match/GPI:**

Total Report	Cash Match	In Kind	GPI Federal Share	GPI State Share
\$0.00	\$0.00	\$0.00	\$0.00	\$0.00

**Budget Summary Information**

**Budget Summary Information by Budget Category:**

CATEGORY	OOG	CASH MATCH	IN-KIND MATCH	GPI	TOTAL
Contractual and Professional Services	\$87,300.00	\$0.00	\$0.00	\$0.00	\$87,300.00



Personnel	\$312,087.00	\$0.00	\$0.00	\$0.00	\$312,087.00
Supplies and Direct Operating Expenses	\$7,021.20	\$0.00	\$0.00	\$0.00	\$7,021.20
Travel and Training	\$2,638.00	\$0.00	\$0.00	\$0.00	\$2,638.00

**Budget Grand Total Information:**

OOG	CASH MATCH	IN-KIND MATCH	GPI	TOTAL
\$409,046.20	\$0.00	\$0.00	\$0.00	\$409,046.20

**Condition Of Fundings Information**

Condition of Funding / Project Requirement	Date Created	Date Met	Hold Funds	Hold Line Item Funds
Other Condition of Funding. Prior to seeking reimbursement, the grantee must submit a budget adjustment to update the Personnel BLI descriptions to include the initials of the personnel once hired.	7/12/2022 3:20:24 PM		No	Yes
Other Condition of Funding. Prior to seeking reimbursement, the grantee must submit a budget adjustment to update the Travel and Training BLI to include the name of the conference to be attended.	7/12/2022 3:21:02 PM		No	Yes
Compliance with State and Federal Laws, Programs and Procedures: Local units of government, including cities, counties and other general purpose political subdivisions, as appropriate, and institutions of higher education that operate a law enforcement agency, must comply with all aspects of the programs and procedures utilized by the U.S. Department of Homeland Security ("DHS") to: (1) notify DHS of all information requested by DHS related to illegal aliens in Agency's custody; and (2) detain such illegal aliens in accordance with requests by DHS. Additionally, counties and municipalities may NOT have in effect, purport to have in effect, or make themselves subject to or bound by, any law, rule, policy, or practice (written or unwritten) that would: (1) require or authorize the public disclosure of federal law enforcement information in order to conceal, harbor, or shield from detection fugitives from justice or aliens illegally in the United States; or (2) impede federal officers from exercising authority under 8 U.S.C. § 1226(a), § 1226(c), § 1231(a), § 1357(a), § 1366(1), or § 1366(3). Lastly, eligible grantees/applicants must comply with all provisions, policies, and penalties found in Chapter 752, Subchapter C of the Texas Government Code. Each local unit of government and institution of higher education that operates a law enforcement agency must download, complete and then upload the <a href="#">CEO/Law Enforcement Certifications and Assurances Form</a> certifying compliance with federal and state immigration enforcement requirements. ***Please upload a form that covers the entirety of the grant.***	4/29/2022 2:54:56 PM		Yes	No
Please be advised that OOG has added a Condition of Funding (CoF) that will hold funds on a specific budget line item(s) that must be met. You can review the CoF by going to the Summary tab and Clicking on the View Condition of Funding link.	7/12/2022 3:20:24		No	No

Assuming all other Conditions of Funding noted on the Statement of Grant award have been met, you will be able to request reimbursement for any line item except for the one(s) with the fund hold until that fund hold is cleared.	PM			
12-Step Programs: Courts have ruled that these programs are considered inherently religious. As such, OOG grant funds cannot be used to support these programs, conduct meetings, or purchase related materials. For more information, click <a href="#">here</a> to download a list of FAQs.	8/9/2022 6:32:59 PM		No	No
SID Number: Grantee is required to report the State Offender Identification (SID) number for all program participants in required progress reports that will be submitted to OOG.	8/9/2022 6:33:06 PM		No	No
Participation in Training and Technical Assistance Program: Grantees are required to participate in activities under the Specialty Courts Resource Center (SCRC) operated by Sam Houston State University. Information about services and resources provided by SCRC can be accessed at <a href="http://txspecialtycourts.org/">http://txspecialtycourts.org/</a> .	8/9/2022 6:33:13 PM		No	No
Other Condition of Funding. Generated Program Income. CJD will allow your agency to use the Addition Method to add generated program income to the project budget in an amount up to \$60,000.00 (if applicable), resulting in an overall increase in the approved budget. Once this amount has been reached further collected generated program income must be applied using the Deduction Method, which will begin to reduce the OOG award amount. All generated program income must be reported to CJD through a formal grant adjustment to secure CJD approval prior to use of the program income.	8/9/2022 6:33:26 PM		No	No

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